**1. RECOMMENDED ACTION: EFFECT OF EC VOTE TO ACCEPT RECOMMENDED ACTION:**

|  |  |  |  |
| --- | --- | --- | --- |
| x | Accept as requested | x | Change to Existing Practice |
|  | Accept as modified below |  | Status Quo |
|  | Decline |  |  |

**2. TYPE OF DEVELOPMENT/MAINTENANCE**

|  |  |
| --- | --- |
| **Per Request:** | **Per Recommendation:** |
| x | Initiation |  | Initiation |
|  | Modification |  | Modification |
|  | Interpretation |  | Interpretation |
|  | Withdrawal |  | Withdrawal |
|  |  |  |  |
|  | Principle |  | Principle |
|  | Definition |  | Definition |
|  | Business Practice Standard |  | Business Practice Standard |
|  | Document |  | Document |
|  | Data Element |  | Data Element |
|  | Code Value |  | Code Value |
|  | X12 Implementation Guide |  | X12 Implementation Guide |
|  | Business Process Documentation |  | Business Process Documentation |

**3. RECOMMENDATION**

**SUMMARY:**

The Commission issued Order 890 directing Transmission Providers to develop through NAESB, Business Practice Standards, including OASIS functionality related to Service Across Multiple Transmission Systems (SAMTS).

**Problem to be Addressed (Simplified Statement)**

Currently, a Transmission Customer hoping to move energy across multiple transmission systems could be left with a financial obligation to pay for a reservation on one system without a guarantee that the whole transmission path may be reserved. This due to:

* + Transmission Providers processing transmission requests independently of one another (and often on different approval timelines),
	+ Transmission Providers adhering to strict confirmation deadlines, and
	+ Transmission Providers having no consistent mechanism to accommodate coordination of requests.

**Summary of Recommendation**

The basic recommended process relies on the customer identifying requests that will be coordinated at the time of submission and the customer communicating status changes of requests to all Transmission Providers who are evaluating requests. The process requires each Transmission Provider to delay final processing of requests until all Transmission Providers have evaluated and responded to the coordinated requests. The suggested process is not intended to apply to Transmission Providers that are exempt or substantially exempt from WEQ-001, 002, 003, and 013.

Proposed Process

1. Customer identifies the set of requests for service across multiple systems. The Transmission Customer submits these requests as preconfirmed requests and provides information on each request about the other requests in the group.
2. Each Transmission Provider processes the request on its system the same as it would process any request on its system and denies, accepts or counteroffers these requests. The customer response time deadline on a Coordinated Request is extended for until each Transmission Provider has acted on the request for service on its system.
3. After all Transmission Providers have responded, the customer response deadline is established. If all requests have been accepted the Transmission Customer must confirm all requests on OASIS. If one or more of the requests for transmission capacity could not be accepted in full, the customer may reduce or withdraw any or all of the requests in the group.
4. If the Transmission Customer fails to act before the customer response deadline, the requests that were accepted may be moved to a confirmed state and the requests that had been counteroffered may be retracted.

FERC requirements are addressed in the recommendation in the following ways:

1. The recommendation establishes the Coordinated Group, which encompasses all requests on all systems which are to be coordinated. The recommendation establishes rules for the evaluation and coordination of responses for all requests in a Coordinated Group. The customer response time is extended for all requests in a Coordinated Group so that the customer may know the capacity granted on all requests before finalizing capacity on any request for service.
2. There is not, at this time, any viable mechanism for automated communication of status changes and/or other information about requests across OASIS systems. After considering such a mechanism, the EC Scoping Task Force recommended not to require a single request that spans multiple systems but recommended a different approach which could be implemented more quickly and at lower cost. This approach coordinates confirmation timing for all requests in the Coordinated Group. When confirmation timing is aligned, there is also alignment of the deadlines for rendering an agreement for service, eliciting deposits and commencing service.
3. As noted in item 2, there is no automated communication between OASIS systems and, as such, establishing identical queue time for all requests in the Coordinated Group would be difficult to administer. In lieu of establishing a single application, the recommendation requires all requests in a Coordinated Group to be queued on the various OASIS systems within 24 hours. This was judged to provide sufficient time for a customer to submit requests on all OASIS systems while minimizing the potential for adverse impacts on the rights of other transmission customers with studies in the queue.
4. Whenever one request in the Coordinated Group is denied the full capacity requested, the customer has the option of lowering the capacity on each of the requests in the Coordinated Group, even to the point of withdrawing such requests.
5. Regional alliances of Transmission Providers shall be permitted to establish a mechanism wherein a single request is processed for PTP or NITS on multiple Transmission Providers’ systems. Such a mechanism shall allow Coordinated Requests that extend beyond the boundaries of the alliance.
6. This recommendation does not change Business Practice Standard WEQ 001-4.16, so there are no changes in reservation priority imposed by this recommendation.

**Recommended Standards:**

 The following modifications to WEQ-000, WEQ-001, WEQ-002, WEQ-003 and WEQ-013 are recommended to implement the Commission’s findings in Orders 890.

**Addition to Existing Business Practice Standard WEQ-000-1**

**(Abbreviations and Acronyms)**

**(Addition to be inserted into WEQ-000-1 in alphabetic order)**

SAMTS– Service Across Multiple Transmission Systems

**Additions to Existing Business Practice Standard WEQ-000-2**

**(Definition of Terms)**

**(Additions to be inserted into WEQ-000-2 in alphabetic order)**

Coordinated Group – Two or more PTP and/or NITS requests that are linked together for the purpose of procuring service across multiple transmission systems on a Transmission path. The Coordinated Group shall be made up of two or more requests, and may include reservations, such that there is no gap in service over a given path to meet contiguity requirements.

Coordinated Request – A PTP or NITS request that is part of a Coordinated Group.

Network Customer – Shall have the same meaning as defined in the FERC pro forma OATT.

**Additions to Existing Business Practice Standard WEQ-001**

**(OASIS)**

**(Additions to be inserted into WEQ-001 in numeric order assigned by NAESB)**

**001- xx COORDINATION OF REQUESTS FOR SERVICE ACROSS MULTIPLE TRANSMISSION SYSTEMS (SAMTS)**

The following requirements provide consistency in processing SAMTS.

**001- xx.1 General Requirements**

**001- xx.1.1** OASIS shall support the queuing and processing of all Coordinated Requests, as specified in the technical requirements of Business Practice Standards WEQ-002, WEQ-003, and WEQ-013. Coordinated Requests are only applicable for service requested directly from the Transmission Provider as the Seller of the service.

**001- xx.1.2** If the Transmission Provider determines that only a portion of the requested capacity can be accommodated on a Coordinated Request,a Transmission Customer or Network Customer will not be required to reserve the full requested capacity on the remaining Coordinated Request(s) in a Coordinated Group.

**001- xx.1.3** A Coordinated Request shall be queued and evaluated in the same manner (i.e., same service priority) as any other PTP or NITS request, subject to the other requirements of Business Practice Standard WEQ-001 as if the Coordinated Request were not part of a Coordinated Group.

**001- xx.1.4** The Transmission Provider shall not be required to verify the submission or monitor the status of Coordinated Requests submitted on another Transmission Providers’ OASIS.

**001- xx.1.5** Once all Coordinated Requests in a Coordinated Group are in a final state, the coordination process is complete and the Coordinated Group no longer exists.

**001- xx.1.6** Regional alliances of Transmission Providers, e.g., wesTTrans, shall be permitted to establish a mechanism wherein a single request is processed for PTP or NITS on multiple Transmission Providers’ systems. Such a mechanism shall allow Coordinated Requests that extend beyond the boundaries of the alliance.

**001- xx.1.7** The Transmission Provider shall not be required to offer a new service in order to establish any contiguous hour-by-hour match of reservations across transmission systems, such as service across different time zones.

**001- xx.1.8** The Transmission Customer is not required to procure additional service in order to establish any contiguous hour-by-hour match of reservations across transmission systems, such as service across different time zones

**001- xx.2 Eligibility**

**001- xx.2.1** The Coordinated Group shall be contiguous over time and path. Contiguity of a Coordinated Group shall encompass Coordinated Requests, and may include existing reservations, such that there shall be no gaps in service over a commercially reservable path across all the Transmission Providers’ systems in the Coordinated Group from the earliest START\_TIME of any Coordinated Request in the Coordinated Group and the latest STOP\_TIME of any Coordinated Request in the Coordinated Group. See Business Practice Standard Appendix xx examples.

**001- xx.2.1.1** A Coordinated Group shall permit time zone differences to exist between Coordinated Requests within the Coordinated Group. (e.g., a start time of midnight EST shall be deemed by the Transmission Provider to be contiguous with a start time of midnight CST.)

**001- xx.2.1.2** The Transmission Customer or Network Customer shall be required to attest that the contiguity requirements in Business Practice Standards WEQ-001- **xx**.2.1 have been met by the Coordinated Requests identified within the Coordinated Group.

**001- xx.2.1.3** Coordinated Requests within a Coordinated Group may have different durations (start/stop times).

**001-xx.2.1.4** The contiguity requirement shall not apply to transmission service across areas of the interconnected transmission system under the operational control of Transmission Providers that have obtained waivers of WEQ-001 from the Commission

**001- xx.2.2** The Transmission Customer or Network Customer may submit any combination and any number of Coordinated Requests from the following PTP or NITS services in a Coordinated Group:

* Yearly firm PTP
* Monthly firm PTP
* Monthly non-firm PTP
* Firm network service with a minimum duration of one month
* Secondary Network Transmission Service with a minimum duration of one month

**001- xx.2.3** Coordinated Requests within a Coordinated Group may have requested capacity values different from another Coordinated Request in the Coordinated Group.

**001-xx.2.4** All Coordinated Requests within the Coordinated Group shall be submitted as preconfirmed.

**001- xx.3 Processing of Coordinated Requests**

**001- xx.3.1** The Transmission Customer or Network Customer shall be required to identify the request as a Coordinated Request when initially submitting the request on OASIS as required in Business Practice Standards WEQ-002 and WEQ-013.

**001- xx.3.2 T**he Transmission Customer or Network Customer shall have 24 hours from the time the Coordinated Request is queued to enter the required information on OASIS for each Coordinated Request and any reservation in the Coordinated Group, and to attest that the Coordinated Group meets the contiguity requirements.

**001- xx.3.3** The Transmission Customer or Network Customer submitting a Coordinated Request shall enter the following required information for each of the reservations in the Coordinated Group on OASIS:

* The responsible Transmission Provider
* The OASIS assigned unique identifier (When a reservation represents an off-OASIS NITS reservation, the OASIS assigned unique identifier for the reservation shall be submitted as 0.)
* The disposition of the reservation; set to “CONFIRMED”

**001- xx.3.4** The Transmission Customer or Network Customer submitting a Coordinated Request shall provide the following required information for each of the other Coordinated Requests in the Coordinated Group:

* The responsible Transmission Provider
* The OASIS assigned unique identifier (When a Coordinated Request represents an off-OASIS NITS request, the OASIS assigned unique identifier for the Coordinated Request shall be submitted as 0.)
* A description of the transmission service requested
* The disposition of the Coordinated Request; initially set to “PENDING”
* The date and time when the Coordinated Request is moved to CR\_ACCEPTED, CR\_COUNTEROFFER or some final state, initially set to null.

**001- xx.3.4.1** The Transmission Customer or Network Customer shall provide or modify the information required in Business Practice Standard WEQ-001- **xx**.3.4 prior to the deadline established in WEQ-001-xx.3.2 and the Customer’s notification to the Transmission Provider that the identification of the Coordinated Group is complete and meets the contiguity requirements of WEQ-001-xx.2.1. Once the Transmission Customer or Network Customer has notified the Transmission Provider that the Coordinated Group has been submitted and is complete, the Customer shall only be allowed to modify the disposition of each Coordinated Request in the Coordinated Group as described in Business Practice Standard WEQ-001-xx.3.5.

**001- xx.3.4.2** The Transmission Customer or Network Customer shall be permitted to remove the Coordinated Request from further consideration as a Coordinated Request prior to the deadline established in WEQ-001-xx.3.2 .

**001- xx.3.4.2.1** To remove any Coordinated request from further consideration as a Coordinated request, the Transmission Customer or Network Customer shall withdraw that Coordinated request by setting its STATUS to WITHDRAWN.

**001- xx.3.4.2.2** Once removed from consideration as a Coordinated Request, the Transmission Customer or Network Customer shall update other Coordinated Requests identified as part of the Coordinated Group to identify the affected request as WITHDRAWN, as applicable.

**001- xx.3.4.3** Prior to the deadline established in WEQ-001-xx.3.2 for finalizing the data required in Business Practice Standard WEQ-001-xx.3.4, the Transmission Customer or Network Customer shall attest that the Coordinated Group meets the contiguity requirements established in Business Practice Standard WEQ-001-xx.2.1.

**001- xx.3.4.3.1** If the Transmission Customer or Network Customer fails to provide the necessary information identifying the Coordinated Requests in the Coordinated Group and attesting to the Coordinated Group’s contiguity prior to the deadline established in WEQ-001-xx3.2, the Transmission Provider may set the Coordinated Request STATUS to INVALID.

**001- xx.3.5** The Transmission Customer or Network Customer shall review each of the Coordinated Requests for action by the respective Transmission Providers, and update the disposition of the Coordinated Request as reflected in each of the other Coordinated Requests in the Coordinated Group upon a change in status of any of the Coordinated Request to CR\_ACCEPTED, CR\_COUNTEROFFER, or any other final state, and the date and time this disposition was affected.

**001- xx.3.5.1** Withdrawal of a Coordinated Request prior to the Transmission Provider setting the status to CR\_ACCEPTED or CR\_COUNTEROFFER shall not justify the withdrawal of any other Coordinated Request in the same Coordinated Group. The Transmission Customer or Network Customer shall reflect in each of the other Coordinated Requests in the Coordinated Group, the fact that the Coordinated Request was voluntarily withdrawn.

**001- xx.3.5.2** When the status of a Coordinated Request is set to REFUSED, INVALID or SUPERCEDED, the Transmission Customer or Network Customer shall reflect in each of the other Coordinated Requests in the Coordinated Group, that no service was granted to the request.

**001- xx.3.5.3** When the status of a Coordinated Request is set to CR\_COUNTEROFFER and CAPACITY\_GRANTED is less than the value of CAPACITY\_REQUESTED, the Transmission Customer or Network Customer shall reflect in each of the other Coordinated Requests in the Coordinated Group that only partial service was granted to the request.

**001- xx.3.5.4** When the status of a Coordinated Request is set to CR\_ACCEPTED or CR\_COUNTEROFFER and CAPACITY\_GRANTED is equal to the value of CAPACITY\_REQUESTED, the Transmission Customer or Network Customer shall reflect that full service was granted to the request in each of the other Coordinated Requests in the Coordinated Group.

**001- xx.3.6** Upon notification that all Coordinated Requests in the Coordinated Group have been acted on by each of the respective Transmission Providers and are no longer “PENDING”, the Transmission Provider shall establish the Customer Confirmation Time Limit in accordance with Business Practice Standard WEQ-001.4.13

**001- xx.3.7** The Transmission Customer or Network Customer may change the status from CR\_ACCEPTED or CR\_COUNTEROFFER to CONFIRMED prior to knowing the final outcome of all Coordinated Requests in a Coordinated Group. Once a Coordinated Request is set to CONFIRMED, no subsequent adjustment in capacity shall be permitted.

**001- xx.3.8** The Transmission Customer or Network Customer shall confirm the Coordinated Request at the requested capacity if all Coordinated Requests in the Coordinated Group have been granted in full, or otherwise voluntarily withdrawn from consideration as a Coordinated Request.

**001- xx.3.9** The Transmission Customer or Network Customer may rebid a lower capacity than granted or withdraw the Coordinated Request if any Coordinated Request in the Coordinated Group has not been granted the requested capacity in full.

**Additions to Existing Business Practice Standard WEQ-001**

**(OASIS Appendix)**

**(Additions to be inserted into WEQ-001 in alphabetic order assigned by NAESB)**

**001-xx Appendix xx – Contiguity Examples for Coordinated Groups**

**Notes for Examples:** These examples, using combinations of Coordinated Requests and reservations, relate specifically to contiguity requirements established in Business Practice Standard WEQ-001-xx.2.1.

The following information is intended to aid in understanding the examples:

1. A month starts in the time zone indicated at 00:00 on the first day of the month and ends at midnight on the last day of the month.
2. The ***Timeframe for Coordinated Group*** starts at the earliest start time of any Coordinated Request in the Coordinated Group and ends at the latest stop time of any Coordinated Request in the Coordinated Group.
3. Reservations are shown in the tables as ***Res x-y***, where x represents the Transmission Provider and y represents an assignment ref number. (e.g., Res 2-1 represents a reservation on TP2 with an assignment ref value of “1”.)
4. Coordinated Requests are shown in the tables as ***CR x-y***, where x represents the Transmission Provider and y represents an assignment ref number. Coordinated Requests are also shown with a diagonal stripe background pattern. (e.g., CR 2-2 represents a Coordinated Request on TP2 with an assignment ref value of “2”.)
5. Months without reservations or Coordinated Requests are shown without any designation. (e.g., in Example 2 there are no reservations or Coordinated Requests on TP2 for November and December.)

**Notes for Examples 1 and 2:** Examples 1 and 2 demonstratehow combinations of Coordinated Requests and reservations meet the contiguity requirements and justify the customer’s appropriate setting of CG\_STATUS to “ATTESTED” for each Coordinated Request in the Coordinated Group..

**Example 1:** This example shows contiguity over time and path where all months between Jan and Sept have either a reservation or a Coordinated Request,

|  |  |  |
| --- | --- | --- |
|  |  | **Timeframe for Coordinated Group** |
| **Transmission Provider** | **Time Zone** | **Jan** | **Feb** | **Mar** | **Apr** | **May** | **Jun** | **Jul** | **Aug** | **Sep** |
| TP1 | EST | **Res 1-1** | **CR 1-2** | **Res 1-3** | **CR 1-4** |
| TP2 | EDT | **Res 2-1** | **CR 2-2** | **Res 2-3** |
| TP3 | CST | **CR 3-1** | **Res 3-2** |
| TP4 | CDT | **Res 4-1** | **CR 4-2** |

**Example 2:** This example shows contiguity over time and path where all months between Jan and Sept have either a reservation or a Coordinated Request but some reservations extend beyond the timeframe for the Coordinated Group.

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
|  |  | **Timeframe for Coordinated Group** |  |  |  |
| **Transmission Provider** | **Time Zone** | **Jan** | **Feb** | **Mar** | **Apr** | **May** | **Jun** | **Jul** | **Aug** | **Sep** | **Oct** | **Nov** | **Dec** |
| TP1 | EST | **Res 1-1** | **CR 1-2** | **Res 1-3** | **CR 1-4** |  |  |  |  |
| TP2 | EDT | **Res 2-1** | **CR 2-2** | **Res 2-3** |  |  |
| TP3 | CST | **CR 3-1** | **Res 3-2** |
| TP4 | CDT | **Res 4-1** | **CR 4-2** |  |  |  |

**Notes for Examples 3 and 4:** Examples3 and 4 demonstratehow combinations of Coordinated Requests and reservations do not meet the contiguity requirements.

**Example 3:** This example shows lack of contiguity over time and path where not all months between Jan and Sept have either a reservation or a Coordinated Request (The requirement is not met on TP1 for Apr through Jun).

|  |  |  |
| --- | --- | --- |
|  |  | **Timeframe for Coordinated Group** |
| **Transmission Provider** | **Time Zone** | **Jan** | **Feb** | **Mar** | **Apr** | **May** | **Jun** | **Jul** | **Aug** | **Sep** |
| TP1 | EST | **Res 1-1** | **CR 1-2** |  | **CR 1-4** |
| TP2 | EDT | **Res 2-1** | **CR 2-2** | **Res 2-3** |
| TP3 | CST | **CR 3-1** | **Res 3-2** |
| TP4 | CDT | **Res 4-1** | **CR 4-2** |

**Example 4:** This example shows lack of contiguity over time and path where not all months between Jan and Dec have either a reservation or a Coordinated Request. (The requirement is only met on TP3.)

|  |  |  |
| --- | --- | --- |
|  |  | **Timeframe for Coordinated Group** |
| **Transmission Provider** | **Time Zone** | **Jan** | **Feb** | **Mar** | **Apr** | **May** | **Jun** | **Jul** | **Aug** | **Sep** | **Oct** | **Nov** | **Dec** |
| TP1 | EST | **Res 1-1** | **CR 1-2** | **Res 1-3** | **CR 1-4** |  |  |  |  |
| TP2 | EDT | **Res 2-1** | **CR 2-2** | **Res 2-3** |  |  |
| TP3 | CST | **CR 3-1** | **CR 3-2** |
| TP4 | CDT | **Res 4-1** | **CR 4-2** |  |  |  |

**Revisions and Additions to Existing Business Practice Standard WEQ-001**

**(OASIS)**

**(Revisions and additions made to WEQ-001 as per standard number)**

**001-4.6** A Transmission Provider/Reseller shall respond to a Transmission Customer’s service request, consistent with filed tariffs, within the Transmission Provider evaluation time limit defined in Business Practice Standard WEQ-001 **Table 4-2 Request Timing Requirements.** The time limit is measured from the time the OASIS Transmission Service request is QUEUED. A Transmission Provider may respond by setting the state of the reservation request to one of the following:

 I. INVALID

 II. DECLINED

 III. REFUSED

 IV. COUNTEROFFER or CR\_COUNTEROFFER

 V. ACCEPTED or CR\_ACCEPTED

 VI. RECEIVED or STUDY (leading to REFUSED, COUNTEROFFER, CR\_COUNTEROFFER, ~~or~~ ACCEPTED, or CR\_ACCEPTED).

**001-4.7** Prior to setting a request to ACCEPTED, CR\_ACCEPTED, COUNTEROFFER, CR\_COUNTEROFFER, or REFUSED a Transmission Provider shall evaluate the appropriate resources and ascertain that the requested ATC is (or is not) available.

**001-4.7.2** If the Transmission Provider determines there is sufficient ATC available to grant the Transmission Customer’s request and the customer’s bid price is at least equal to the Transmission Provider’s current posted offer price over time and all other Transmission Provider requirements have been met, the Transmission Provider shall respond by setting the request status to ACCEPTED, or for a Coordinated Request the Transmission Provider shall respond by setting the request to CR\_ACCEPTED.

**001-4.7.3** The Transmission Provider shall use the status of COUNTEROFFER, or for a Coordinated Request the Transmission Provider shall use the status of CR\_COUNTEROFFER, to initiate the negotiation of requested capacity (Partial Service) and/or price.

**001-4.7.3.2** If the ATC can support only a portion of the total capacity requested by the Transmission Customer and the Transmission Provider is obligated or elects to offer what limited capability is available to the Transmission Customer, the Transmission Provider shall notify the Transmission Customer on OASIS by setting the amount of capacity being offered over time in the transmission request and updating the request status to COUNTEROFFER or for a Coordinated Request the Transmission Provider shall update the request status to CR\_COUNTEROFFER.

**001-4.7.3.4** If the Transmission Provider elects to continue to negotiate price, the Transmission Provider shall update the offer price over time in the transmission request, indicate whether the price being negotiated is higher or lower than the posted price, and set the request status to COUNTEROFFER or for a Coordinated Request the Transmission Provider shall set the request status to CR\_COUNTEROFFER.

**001-4.7.3.4.1** If negotiation of price on one Coordinated Request is continued by the Transmission Provider through CR\_COUNTEROFFER, negotiation of price does not automatically initiate negotiation of price or capacity on other Coordinated Requests in the Coordinated Group.

**001-4.9** The Transmission Customer may change a request from QUEUED, RECEIVED, STUDY, COUNTEROFFER, CR\_COUNTEROFFER, REBID, ~~or~~ ACCEPTED, or CR\_ACCEPTED to WITHDRAWN at any time prior to CONFIRMED except as follows:

**001-4.9.4** The Transmission Customer shall be permitted, but is not required, to withdraw any preconfirmed Coordinated Request in the Coordinated Group when the Transmission Customer has notified the Transmission Provider that one or more of the other Coordinated Requests in the Coordinated Group was denied or granted at a lower capacity than requested.

**001-4.9.5** The Transmission Customer shall not change the status of any preconfirmed Coordinated Request from CR\_ACCEPTED to WITHDRAWN unless one or more of the other Coordinated Requests in the Coordinated Group was denied or granted at a lower capacity than requested.

**001-4.10** From ACCEPTED, CR\_ACCEPTED, ~~or~~ COUNTEROFFER, or CR\_COUNTEROFFER, a Transmission Customer may change the status to CONFIRMED, REBID or WITHDRAWN. The Transmission Customer has the amount of time designated as Transmission Customer confirmation time limit in Business Practice Standard WEQ-001 **Table 4-2 Request Timing Requirements** to change the state of the request to CONFIRMED. The Transmission Customer confirmation time limit is measured from the first time the request is moved to ACCEPTED or COUNTEROFFER, and is not reset with subsequent iterations of negotiation.

**001-4.10.5** For a Coordinated Request where the CAPACITY\_GRANTED is less than the original CAPACITY\_REQUESTED, a Transmission Customer may reduce the amount of CAPACITY\_REQUESTED on any other Coordinated Request in the same Coordinated Group.

**001-4.10.6** The Transmission Customer shall not change the status of a Coordinated Request from CR\_ACCEPTED to REBID unless one or more of the other Coordinated Requests in the Coordinated Group was denied or granted at a lower capacity than requested.

**001-4.10.7** When there is a rebid on capacity for an ACCEPTED Coordinated Request, the Transmission Provider shall accept the rebid on capacity.

**001-4.10.7.1** When there is a rebid on capacity and price for the same Coordinated Request, the Transmission Provider shall accept the rebid on capacity but is not required to accept the rebid on price.

**001-4.11** After expiration of the Transmission Customer confirmation time limit, specified in Business Practice Standard WEQ-001 **Table 4-2 Request Timing Requirements,** the Transmission Provider has a right to move the request to the RETRACTED state, with the following exception.

**001-4.11.1** For a Coordinated Request, after expiration of the Transmission Customer confirmation time limit, specified in Business Practice Standard WEQ-001 **Table 4-2 Request Timing Requirements,** the Transmission Provider has a right to move a request with the status of CR\_ACCEPTED to the CONFIRMED state and to move a request with the status of CR\_COUNTEROFFERED to the RETRACTED state.

**001-4.13** The following timing requirements shall apply to all PTP requests:

Table 4-2

REQUEST Timing Requirements

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| **TS\_CLASS** | **SERVICE\_INCREMENT** | **Time QUEUED Prior to Start** | **Transmission Provider Evaluation Time Limit1** | **Transmission Customer Confirmation Time Limit2 after ACCEPTED or COUNTEROFFER3** | **Transmission Customer Confirmation Time Limit2,8,9 after CR\_ACCEPTED or CR\_COUNTER-OFFER** | **Transmission Provider Counter Time Limit after REBID4** |
| NON\_FIRM | HOURLY | <1 hour | Best effort | 5 minutes | N/A | 5 minutes |
| NON\_FIRM | HOURLY | >1 hour | 30 minutes | 5 minutes | N/A | 5 minutes |
| NON\_FIRM | HOURLY | Day ahead | 30 minutes | 30 minutes | N/A | 10 minutes |
| NON\_FIRM | DAILY | N/A | 30 minutes | 2 hours | N/A | 10 minutes |
| NON\_FIRM | WEEKLY | N/A | 4 hours | 24 hours | N/A | 4 hours |
| NON\_FIRM | MONTHLY | N/A | 2 days **5** | 24 hours | 24 hours | 4 hours |
|  |  |  |  |  |  |  |
| FIRM | DAILY | < 24 hours | Best effort | 2 hours | N/A | 30 minutes |
| FIRM | DAILY | N/A | 30 days**6** | 24 hours | N/A | 4 hours |
| FIRM | WEEKLY | N/A | 30 days**6** | 48 hours | N/A | 4 hours |
| FIRM | MONTHLY | N/A | 30 days**6** | 4 days | 4 days | 4 hours |
| FIRM | YEARLY | 60 days **7** | 30 days | 15 days | 15 days | 4 hours |

**Notes for Table 4-2:**

**1** Consistent with regulations and filed tariffs, measurement starts at the time the request is QUEUED.

**2** Transmission Customer confirmation time limits are not to be interpreted to extend scheduling deadlines or to override pre-exemption deadlines.

**3** Measurement starts at the time the request is first moved to either ACCEPTED or COUNTEROFFER. The time limit does not reset on subsequent changes of state.

**4** Measurement starts at the time the Transmission Customer changes the state to REBID. The measurement resets each time the request is changed to REBID.

**5** Days are defined as calendar days.

**6** Subject to expedited time requirements of Section 17.1 of the pro forma tariff. Transmission Providers shall make best efforts to respond within 72 hours, or prior to the scheduling deadline, whichever is earlier, to a request for DAILY\_FIRM PTP received during period 2-30 days ahead of the service start time.

**7** Subject to Section 17.1 of the pro forma tariff, whenever feasible and on a nondiscriminatory basis, Transmission Providers should accommodate requests made with less than 60 days notice.

**8** Confirmation time limit for all Coordinated Requests in a Coordinated Group is established by selecting the longest confirmation time limit of any Coordinated Request in that Coordinated Group.

**9** Measurement starts based on the time the last of all Coordinated Requests in the Coordinated Group has been moved to either CR\_ACCEPTED, CR\_COUNTEROFFER or some final state. The Transmission Customer confirmation time limit does not reset on subsequent changes of state.

**Revisions and Additions to Existing Business Practice Standard WEQ-002**

**(OASIS S&CP)**

**(Revisions and additions made to WEQ-002 as per standard number)**

**002-4.3.1 Template Summary**

| **Process Area**  | **Process Name**  | **Template(s)**  |
| --- | --- | --- |
| 4.3.2 Query/Response of Posted Services Being Offered  | Query/Response Transmission Capacity Offerings  | transoffering  |
|  | Query/Response Ancillary Service Offerings  | ancoffering  |
|  |  |  |
| 4.3.3 Query/Response of Services Information  | Query/Response Transmission Services  | transserv  |
|  | Query/Response Ancillary Services  | ancserv  |
|  |  |  |
| 4.3.4 Query/Response of Schedule details and Curtailments, Security Events, Reductions, and System Data  | Query/Response Transmission Schedules and Curtailments  | scheduledetail  |
|  | Query/Response Security Events  | security  |
|  | Query/Response Reductions to Reserved Capacity  | reduction  |
|  | Query/Response Transmission System Data  | systemdata  |
|  |  |  |
| 4.3.5 Query/Response of Lists of Information  | Query/Response List of Sellers, Paths, PORs, PODs, Capacity Types, Ancillary Service Types, Templates  | list  |
|  |  |  |
| 4.3.6 Purchase Transmission Services  | Request Purchase of Transmission Services (Input)  | transrequest  |
|  | Query/Response Status of Transmission Service Request  | transstatus  |
|  | ~~Seller Approves Purchase (Input)~~  | ~~transsell~~  |
|  | Renewal Provisions | rollover |
|  | Conditional Curtailment Option Provisions | cco |
|  | Coordinated Group Status | cgstatus |
|  | Seller Approves Purchase (Input)  | transsell  |
|  | Customer Confirm/Withdraw Purchase of Transmission Service (Input)  | transcust  |
|  | Coordinated Group Update (Input) | cgupdate |
|  | Seller Reassign Rights (Input)  | transassign  |
|  |  |  |
| 4.3.7 Seller Posting of Transmission Service  | Seller Post Transmission Service for Sale (Input)  | transpost  |
|  | Seller Modify (Remove) Transmission Service for Sale (Input)  | transupdate  |
|  |  |  |
| 4.3.8 Purchase of Ancillary Service  | Request Purchase of Ancillary Service (Input)  | ancrequest  |
|  | Query/Response Status of Ancillary Service Request  | ancstatus  |
|  | Seller Approves Purchase of Ancillary Service (Input)  | ancsell  |
|  | Customer Accept/Withdraw Purchase of Ancillary Service (Input)  | anccust  |
|  | Seller Reassign Rights (Input)  | ancassign  |
|  |  |  |
| 4.3.9 Seller Post Ancillary Service  | Seller Post Ancillary Service (Input)  | ancpost  |
|  | Seller Modify (Remove) Ancillary Service for Sale (Input)  | ancupdate  |
|  |  |  |  |
| 4.3.10 Informal Messages  | Post Want Ads (Input)  |  | messagepost  |
|  | Query/Response Want Ads  | message  |
|  | Delete Want Ad (Input)  | messagedelete  |
|  | Personnel Transfers  | personnel  |
|  | Discretion  | discretion  |
|  | Standards of Conduct  | stdconduct  |
|  |  |  |
| 4.3.11 Audit Log  | Query/Response Audit Log  | (various)  |

**002-4.3.6 Purchase Transmission Services**

The following OASIS Templates shall be used by Transmission Customers and Sellers to transact purchases of services.

**002-4.3.6.1** TransmissionCustomer Capacity Purchase Request (transrequest)

The Transmission Customer Capacity Purchase Request (***transrequest***) is used by the Transmission Customer to request the purchase of Transmission Services or request changes to previously submitted reservations for Transmission Services. The response simply acknowledges that the Transmission Customer's request was received by the OASIS Node. It does not imply that the Seller has received the request. Inputting values into the reference Data Elements is optional.

CUSTOMER\_CODE and CUSTOMER\_DUNS shall be determined from the registered connection used to input the request.

Supporting "profiles" of service, which request different capacities and/or price for different time periods within a single request, is at the discretion of the Transmission Provider. Continuation records may be used to indicate requests for these service profiles; use of continuation records is only supported when using the CSV Format upload of OASIS Template data. Each segment of a profile is represented by the set of Data Elements START\_TIME, STOP\_TIME, CAPACITY\_REQUESTED, and BID\_PRICE which define the intervals in time over which the specified capacity and price values apply. The initial segment of a profile is defined by the START\_TIME, STOP\_TIME, CAPACITY\_REQUESTED, and BID\_PRICE Data Elements specified in the first or only record submitted; subsequent segments are specified in continuation records each containing the appropriate START\_TIME, STOP\_TIME, CAPACITY\_REQUESTED, and BID\_PRICE values defining the segment.

For requesting Transmission Services which include multiple paths, the following fields may be specified using continuation records: PATH\_NAME, POINT\_OF\_RECEIPT, and POINT\_OF\_DELIVERY. Supporting multiple Posted Paths or multiple POINT\_OF\_RECEIPT and POINT\_OF DELIVERY is at the discretion of the Transmission Provider.

The earliest START\_TIME and latest STOP\_TIME indicate the overall requested period of service and cannot be modified by subsequent actions.

When a valid request is received at the OASIS Node, the TSIP assigns a unique ASSIGNMENT\_REF value and queues the request with a time stamp. The STATUS for the request is QUEUED. The IMPACTED counter is initially set to 0. REQUEST\_TYPE must be specified. If the SELLER is not the Transmission Provider, REQUEST\_TYPE must be one of RESALE, FULL\_TRANSFER, or PART\_TRANSFER.

If the new request is modifying an existing transmission reservation, the Data Elements REQUEST\_TYPE and RELATED\_REF must be entered. RELATED\_REF contains the ASSIGNMENT\_REF for the transmission reservation being modified, and REQUEST\_TYPE must be one of MATCHING, REDIRECT, DEFERRAL, RENEWAL, RELINQUISH, or a Transmission Provider registered value.

Specification of a value YES in the PRECONFIRMED field authorizes the TSIP to automatically change the STATUS field in the ***transstatus*** OASIS Template to CONFIRMED when that request is ACCEPTED by the Seller.

Specification of a value Y in the ROLLOVER\_WAIVED field indicates to the Transmission Provider that the Transmission Customer is voluntarily waiving the conveyance of any potential on-going rollover (Renewal) rights that may otherwise be available in association with the requested service.

If the request is a Coordinated Request, the Transmission Customer must submit the request with CG\_STATUS set to the value of PROPOSED. Otherwise, CG\_STATUS must be null for all other requests.

OASIS Template: ***transrequest***

1. **Input**

CONTINUATION\_FLAG

SELLER\_CODE (Transmission Provider or Reseller)

SELLER\_DUNS

PATH\_NAME

POINT\_OF\_RECEIPT

POINT\_OF\_DELIVERY

SOURCE

SINK

CAPACITY\_REQUESTED

SERVICE\_INCREMENT

TS\_CLASS

TS\_TYPE

TS\_PERIOD

TS\_WINDOW

TS\_SUBCLASS

STATUS\_NOTIFICATION

START\_TIME

STOP\_TIME

BID\_PRICE

PRECONFIRMED

ANC\_SVC\_LINK

POSTING\_REF (Optionally set by Transmission Customer)

SALE\_REF (Optionally set by Transmission Customer)

REQUEST\_REF (Optionally set by Transmission Customer)

DEAL\_REF (Optionally set by Transmission Customer)

CUSTOMER\_COMMENTS

REQUEST\_TYPE (Required for request changes)

RELATED\_REF (Required for request changes)

ROLLOVER\_WAIVED (Only applicable to Long-Term Firm Point-to-Point Transmission Service requests)

CG\_STATUS

1. **Response** (acknowledgment)

RECORD\_STATUS

CONTINUATION\_FLAG

ASSIGNMENT\_REF (assigned by TSIP)

SELLER\_CODE

SELLER\_DUNS

PATH\_NAME

POINT\_OF\_RECEIPT

POINT\_OF\_DELIVERY

SOURCE

SINK

CAPACITY\_REQUESTED

SERVICE\_INCREMENT

TS\_CLASS

TS\_TYPE

TS\_PERIOD

TS\_WINDOW

TS\_SUBCLASS

STATUS\_NOTIFICATION

START\_TIME

STOP\_TIME

BID\_PRICE

PRECONFIRMED

ANC\_SVC\_LINK

POSTING\_REF

SALE\_REF

REQUEST\_REF

DEAL\_REF

CUSTOMER\_COMMENTS

REQUEST\_TYPE

RELATED\_REF

ROLLOVER\_WAIVED

CG\_STATUS

ERROR\_MESSAGE

**002-4.3.6.2** Status of Customer Purchase Request/Reservation (transstatus)

The **Status of Customer Purchase Request/Reservation** (***transstatus***) is provided upon the request of any Customer or Provider to indicate the current status of one or more reservation records. Users may also view any transaction's status. However, the SOURCE and SINK may be masked for User requests until Transmission Providers must make source and sink information available at the time the request status posting is updated to show that a transmission request is confirmed.

Continuation records may be returned in association with a transmission reservation to convey information regarding: 1) sale or assignment of transmission rights on the secondary market (reassignments), 2) profiled requests, or 3) service over multiple paths. Each continuation record associated with a transmission reservation shall be identified by the CONTINUATION\_FLAG Data Element set to 'Y' and include the ASSIGNMENT\_REF Data Element.

When a transmission reservation request acquires its rights to transmission service as the result of a sale or assignment of transmission rights on the secondary market, the identity of the original reservation, capacity, and time interval over which rights are assigned to the new reservation are defined by the Data Elements REASSIGNED\_REF, REASSIGNED\_CAPACITY, REASSIGNED\_START\_TIME, and REASSIGNED\_STOP\_TIME. These Data Elements will be returned in continuation records when more than one set of reassignment information is associated with a reservation.

If the transmission reservation has an associated profile, either as a result of the Transmission Customer’s submission of CAPACITY\_REQUESTED and/or BID\_PRICE varying over time (support for Customer reservation profiles is at the discretion of the Provider or the Seller’s offering of partial service or negotiation of price, the set of data elements for START\_TIME, STOP\_TIME, CAPACITY\_REQUESTED, BID\_PRICE, CAPACITY\_GRANTED, OFFER\_PRICE and CEILING\_PRICE will be returned in continuation records for each segment of the request/reservation profile.

If the Provider supports reservations submitted on multiple paths, continuation records specifying PATH\_NAME, POINT\_OF\_RECEIPT, and POINT\_OF\_DELIVERY associated with the reservation would be returned in continuation records.

The AFFILIATE\_FLAG will be set by the TSIP to indicate whether or not the Customer is an affiliate of the Primary Provider. The NEGOTIATED\_PRICE\_FLAG will be set by the TSIP to indicate whether the OFFER\_PRICE is higher, lower, or the same as the BID\_PRICE. Any time that a confirmed transmission reservation's rights to schedule up to the amount of CAPACITY\_GRANTED is reduced, either due to secondary market sales, partial displacements, Provider initiated "recalls" of capacity, etc., the IMPACTED Data Element shall be incremented. Specific information regarding the MW level and reason for reduction in reserved capacity is viewable using the ***reduction*** Template.

The PRIMARY\_PROVIDER\_APPROVAL data element is set by the Primary Provider to indicate their approval of PART\_TRANSFER and FULL\_TRANSFER requests. In all other types of transmission requests, this element will be null.

The PRIMARY\_PROVIDER\_PROVISIONS data element is set to ‘Y’ by the Primary Provider when certain provisions are imposed on the reservation that may be viewed by issuing a query for the ***rollover*** and ***cco*** templates, otherwise null shall be used.

Specification of a value of PROPOSED or ATTESTED in the CG\_STATUS field indicates that the Transmission Customer is associating the requested service with a Coordinated Group for coordination of service across multiple Transmission Providers, i.e., a Coordinated Request. When initially submitted with a CG\_STATUS of PROPOSED, the CG\_DEADLINE data element shall be set to a value 24 hours after the request’s TIME\_QUEUED.

Template: **transstatus**

1. **Query**

SELLER\_CODE\*

SELLER\_DUNS\*

CUSTOMER\_CODE\*

CUSTOMER\_DUNS\*

PATH\_NAME\*

POINT\_OF\_RECEIPT\*

POINT\_OF\_DELIVERY\*

SERVICE\_INCREMENT\*

TS\_CLASS\*

TS\_TYPE\*

TS\_PERIOD\*

TS\_WINDOW\*

TS\_SUBCLASS\*

STATUS\*

CG\_STATUS\*

START\_TIME (Beginning time of service)

STOP\_TIME

START\_TIME\_QUEUED (Beginning time queue)

STOP\_TIME\_QUEUED

NEGOTIATED\_PRICE\_FLAG

ASSIGNMENT\_REF

REASSIGNED\_REF

RELATED\_REF

SALE\_REF

REQUEST\_REF

DEAL\_REF

COMPETING\_REQUEST\_FLAG

TIME\_OF\_LAST\_UPDATE

1. **Response**

CONTINUATION\_FLAG

ASSIGNMENT\_REF

SELLER\_CODE

SELLER\_DUNS

CUSTOMER\_CODE

CUSTOMER\_DUNS

AFFILIATE\_FLAG (Set by TSIP)

PATH\_NAME

POINT\_OF\_RECEIPT

POINT\_OF\_DELIVERY

SOURCE

SINK

CAPACITY\_REQUESTED

CAPACITY\_GRANTED

SERVICE\_INCREMENT

TS\_CLASS

TS\_TYPE

TS\_PERIOD

TS\_WINDOW

TS\_SUBCLASS

NERC\_CURTAILMENT\_PRIORITY

OTHER\_CURTAILMENT\_PRIORITY

START\_TIME

STOP\_TIME

CEILING\_PRICE

OFFER\_PRICE

BID\_PRICE

PRICE\_UNITS

PRECONFIRMED

ANC\_SVC\_LINK

ANC\_SVC\_REQ

POSTING\_REF

SALE\_REF

REQUEST\_REF

DEAL\_REF

IMPACTED (Greater than 0, if another reservation impacts this

reservation)

COMPETING\_REQUEST\_FLAG

REQUEST\_TYPE

RELATED\_REF

NEGOTIATED\_PRICE\_FLAG ("L" if Seller accepted Price is lower than

OFFER\_PRICE in *transoffering* Template; "H" if higher; otherwise

blank)

STATUS

STATUS\_NOTIFICATION

STATUS\_COMMENTS

TIME\_QUEUED

RESPONSE\_TIME\_LIMIT

TIME\_OF\_LAST\_UPDATE

PRIMARY\_PROVIDER\_COMMENTS

SELLER\_REF

SELLER\_COMMENTS

CUSTOMER\_COMMENTS

SELLER\_NAME

SELLER\_PHONE

SELLER\_FAX

SELLER\_EMAIL

CUSTOMER\_NAME

CUSTOMER\_PHONE

CUSTOMER\_FAX

CUSTOMER\_EMAIL

REASSIGNED\_REF

REASSIGNED\_CAPACITY (Capacity from each previous transaction)

REASSIGNED\_START\_TIME

REASSIGNED\_STOP\_TIME

PRIMARY\_PROVIDER\_APPROVAL

PRIMARY\_PROVIDER\_PROVISIONS

CG\_STATUS

CG\_DEADLINE

**002-4.3.6.2.3** Coordinated Group Status (***cgstatus)***

The Coordinated Group Status (***cgstatus***) query template provides users with additional information related to a Coordinated Request’s associated transmission requests and any reservations, if applicable, that have been submitted as the Coordinated Group.

The query parameters associated with this template may be specified by the user to limit the set of service requests or reservations whose Coordinated Group information is to be returned in the template response.

If there is no Coordinated Group information associated with the request(s) or reservation(s) selected via the query parameters, no records will be returned in the template response for that request/reservation.

The query parameters below are to be applied in the same way to the associated transmission request/reservation data elements as defined in the ***transstatus*** template, and shall act to select the specific reservations whose associated Coordinated Group information is to be returned.

OASIS Template:***cgstatus***

1. **Query**

SELLER\_CODE\*

SELLER\_DUNS\*

CUSTOMER\_CODE\*

CUSTOMER\_DUNS\*

PATH\_NAME\*

POINT\_OF\_RECEIPT\*

POINT\_OF\_DELIVERY\*

SERVICE\_INCREMENT\*

TS\_CLASS\*

TS\_TYPE\*

TS\_PERIOD\*

TS\_WINDOW\*

TS\_SUBCLASS\*

STATUS\*

CG\_STATUS\*

START\_TIME (Beginning time of service)

STOP\_TIME

START\_TIME\_QUEUED (Beginning time queue)

STOP\_TIME\_QUEUED

NEGOTIATED\_PRICE\_FLAG

ASSIGNMENT\_REF

REASSIGNED\_REF

RELATED\_REF

SALE\_REF

REQUEST\_REF

DEAL\_REF

COMPETING\_REQUEST\_FLAG

TIME\_OF\_LAST\_UPDATE

1. **Response**

CONTINUATION\_FLAG

ASSIGNMENT\_REF

CR\_PRIMARY\_PROVIDER\_CODE

CR\_ASSIGNMENT\_REF

CR\_SERVICE\_INCREMENT

CR\_TS\_CLASS

CR\_TS\_TYPE

CR\_DISPOSITION

CR\_DISPOSITION\_TIME

TIME\_OF\_LAST\_UPDATE

**002-4.3.6.3** Seller Approval of Purchase (***transsell***)

Seller Approval of Purchase (***transsell***) is input by a Seller to modify the status and queue of a request by a Transmission Customer.

The following fields may be submitted in continuation records for the ***transsell*** OASISTemplate to convey transmission rights from multiple original transmission reservations to this new reservation: REASSIGNED\_REF, REASSIGNED\_CAPACITY, REASSIGNED\_START\_TIME, and REASSIGNED\_STOP\_TIME. Use of continuation records is only supported when using the CSV format upload of OASIS Template data.

If the Transmission Provider/Reseller cannot accommodate the Transmission Customer's CAPACITY\_REQUESTED and is obligated or elects to offer the Transmission Customer Partial Service that varies over the total period of the reservation or the Transmission Provider/Reseller supports the negotiation of price on individual segments of a profiled reservation request (support for reservation profiles is at the discretion of the Transmission Provider), the set of Data Elements START\_TIME, STOP\_TIME, CAPACITY\_GRANTED, and OFFER\_PRICE must be specified and may be repeated in continuation records.

SELLER\_CODE and SELLER\_DUNS shall be determined from the registered connection used to input the request. The SELLER\_REF Data Element may be set by the SELLER to a Seller specific internal tracking number.

If the reservation is subject to the right of first refusal pending a status change to Displaced, the COMPETING\_REQUEST\_FLAG shall be set to Y, and SELLER\_COMMENTS shall be updated with a reference to the competing request’s ASSIGNMENT\_REF. If the reservation is subject to the right of first refusal pending a status change to SUPERSEDED, the COMPETING\_REQUEST\_FLAG shall be set to Y, the OFFER\_PRICE shall be updated, the SELLER\_COMMENTS shall be updated with a reference to the competing requests ASSIGNMENT\_REF, and the STATUS shall be set to COUNTEROFFER or CR\_COUNTEROFFER. Once the disposition of the request is finalized, the COMPETING\_REQUEST\_FLAG shall be reset to N and any appropriate status change shall be made.

OASIS shall allow the Seller to set the Transmission Service request’s STATUS to ACCEPTED or CR\_ACCEPTED only when the CAPACITY\_REQUESTED and BID\_PRICE match the corresponding CAPACITY\_GRANTED and OFFER\_PRICE Data Elements over the START\_TIME and STOP\_TIME of the request.

With the exception for REQUEST\_TYPEs of PART\_TRANSFER or FULL\_TRANSFER, OASIS shall set a pre-confirmed Transmission Service request to CONFIRMED when the Transmission Provider/Reseller sets the request’s STATUS to ACCEPTED. PART\_TRANSFER and FULL\_TRANSFER requests require that both STATUS be set to ACCEPTED and PRIMARY\_PROVIDER\_APPROVAL be set to the value of Y prior to OASIS moving the STATUS to CONFIRMED.

For requests that are being coordinated with other Transmission Providers (CG\_STATUS of ATTESTED), setting STATUS to CR\_ACCEPTED for a preconfirmed Coordinated Request will not automatically initiate OASIS setting the STATUS to CONFIRMED nor initiate any customer confirmation time limit.

OASIS Template:***transsell***

1. **Input**

CONTINUATION\_FLAG

ASSIGNMENT\_REF (Required)

START\_TIME

STOP\_TIME

OFFER\_PRICE

CAPACITY\_GRANTED

STATUS

STATUS\_COMMENTS

ANC\_SVC\_LINK

ANC\_SVC\_REQ

COMPETING\_REQUEST\_FLAG

NEGOTIATED\_PRICE\_FLAG

SELLER\_REF

SELLER\_COMMENTS

RESPONSE\_TIME\_LIMIT

REASSIGNED\_REF

REASSIGNED\_CAPACITY (Previous capacity to be reassigned)

REASSIGNED\_START\_TIME

REASSIGNED\_STOP\_TIME

1. **Response**

RECORD\_STATUS

CONTINUATION\_FLAG

ASSIGNMENT\_REF

START\_TIME

STOP\_TIME

OFFER\_PRICE

CAPACITY\_GRANTED

STATUS

STATUS\_COMMENTS

ANC\_SVC\_LINK

ANC\_SVC\_REQ

COMPETING\_REQUEST\_FLAG

NEGOTIATED\_PRICE\_FLAG

SELLER\_REF

SELLER\_COMMENTS

RESPONSE\_TIME\_LIMIT

REASSIGNED\_REF

REASSIGNED\_CAPACITY (Previous capacity to be reassigned)

REASSIGNED\_START\_TIME

REASSIGNED\_STOP\_TIME

ERROR\_MESSAGE

**002-4.3.6.4** Transmission Customer Confirmation of Purchase (***transcust***)

Transmission Customer Confirmation of Purchase (***transcust***) is input by the Customer to state his agreement or withdrawal of a purchase after the Seller has indicated that the purchase request is approved. Only those Data Elements specified in this OASIS Template with the exception of ASSIGNMENT\_REF can be modified by the Transmission Customer.

CUSTOMER\_CODE and CUSTOMER\_DUNS shall be determined from the registered connection used to input the request.

If the Transmission Provider/Reseller supports the negotiation of price and/or capacity on individual segments of a profiled reservation request (support for reservation profiles is at the discretion of the Transmission Provider), START\_TIME, STOP\_TIME, CAPACITY\_REQUESTED, and BID\_PRICE Data Elements may be submitted in continuation records to modify the Transmission Customer's bid price and/or capacity associated with each profile segment(s)

OASIS shall allow the Transmission Customer to set the Transmission Service request’s STATUS to CONFIRMED only when the CAPACITY\_REQUESTED and BID\_PRICE match the corresponding CAPACITY\_GRANTED and OFFER\_PRICE Data Elements over the START\_TIME and STOP\_TIME of the request.

If the request is to be coordinated across multiple Transmission Providers, (i.e., a Coordinated Request, by submission of the request with CG\_STATUS of PROPOSED), the Transmission Customer must specify a change in CG\_STATUS to ATTESTED once all the other Coordinated Requests in the Coordinated Group have been appropriately identified via the ***cgupdate*** template. A preconfirmed Coordinated Request may be WITHDRAWN within 24 hours of submission to remove the request from consideration as a Coordinated Request.

OASIS Template:***transcust***

1. **Input**

CONTINUATION\_FLAG

ASSIGNMENT\_REF (Required)

START\_TIME

STOP\_TIME

REQUEST\_REF

DEAL\_REF

BID\_PRICE

STATUS

STATUS\_COMMENTS

ANC\_SVC\_LINK

STATUS\_NOTIFICATION If left blank, then original URL from the *transrequest* will be used

CUSTOMER\_COMMENTS

CAPACITY\_REQUESTED

CG\_STATUS

1. **Response**

RECORD\_STATUS

CONTINUATION\_FLAG

ASSIGNMENT\_REF

START\_TIME

STOP\_TIME

REQUEST\_REF

DEAL\_REF

BID\_PRICE

STATUS

STATUS\_COMMENTS

ANC\_SVC\_LINK

STATUS\_NOTIFICATION

CUSTOMER\_COMMENTS

CAPACITY\_REQUESTED

CG\_STATUS

ERROR\_MESSAGE

**002-4.3.6.4.1** Update Coordinated Group (***cgupdate***)

The Update Coordinated Group (***cgupdate***) template is used by the Transmission Customer to identify each of the other Coordinated Requests for service with multiple Transmission providers and reservations associated with a given Coordinated Request identified by ASSIGNMENT\_REF. The ASSIGNMENT\_REF must identify a valid pending Coordinated Request on the Transmission Provider’s system that has its CG\_STATUS set to either PROPOSED or ATTESTED.

Multiple records may be submitted to associate or update the information related to multiple Coordinated Requests within the Coordinated Group through the use of continuation records. All template data elements contained in the ***cgupdate***, with the exception of CR\_SERVICE\_INCREMENT, CR\_TS\_CLASS, CR\_TS\_TYPE, and CR\_DISPOSITION\_TIME are required to be specified as non-null values in the first and each subsequent continuation records submitted.

While the request identified by ASSIGNMENT\_REF has CG\_STATUS set to the value of PROPOSED, the ***cgupdate*** template may be used to add, modify or delete information associated with the other Coordinated Requests that comprise the Coordinated Group. If the request identified by CR\_PRIMARY\_PROVIDER\_CODE and CR\_ASSIGNMENT\_REF has not already been identified as part of the Coordinated Group associated with ASSIGNMENT\_REF, the information supplied will be added to any existing information on the Coordinated Group by the Transmission Customer. If the request identified by CR\_PRIMARY\_PROVIDER\_CODE and CR\_ASSIGNMENT\_REF has been previously provided in the Coordinated Group associated with ASSIGNMENT\_REF, such information will be used to update that request in the Coordinated Group. If the CR\_DISPOSITION data element is specified with the value of DELETED, the information associated with the request identified by CR\_PRIMARY\_PROVIDER\_CODE and CR\_ASSIGNMENT\_REF request will be removed from the Coordinated Group information.

The CR\_DISPOSITION data element must be set to PENDING for each of the other Coordinated Requests in the Coordinated Group until service has been ACCEPTED and CONFIRMED.

Existing reservations may be included as part of the Coordinated Group to meet the contiguity obligation by specifying the CR\_DISPOSITION data element with the value of CONFIRMED. The CR\_SERVICE\_INCREMENT, CR\_TS\_CLASS, and CR\_TS\_TYPE data elements are optional and may be null when adding an existing reservation to the Coordinated Group. An existing reservation that was added to a Coordinated Request’s Coordinated Group in error may be removed from the Coordinated Group by resubmitting the ASSIGNMENT\_REF, CR\_PRIMARY\_PROVIDER\_CODE and CR\_ASSIGNMENT\_REF with a CR\_DISPOSITION of DELETED to the ***cgupdate*** template.

Once the Coordinated Request identified by ASSIGNMENT\_REF has CG\_STATUS set to ATTESTED, the ***cgupdate*** template is restricted to only allowing updates for the CR\_DISPOSITION and CR\_DISPOSITION\_TIME data elements for the requests that have been identified in the Coordinated Group. The CR\_PRIMARY\_PROVIDER and CR\_ASSIGNMENT\_REF data elements must refer to a valid request that is already part of the Coordinated Group. An error will be returned if CR\_PRIMARY\_PROVIDER and CR\_ASSIGNMENT\_REF data elements do not correspond with any member of the Coordinated Group.

CR\_DISPOSITION\_TIME must be specified as null until the Coordinated Request identified by CR\_PRIMARY\_PROVIDER and CR\_ASSIGNMENT\_REF has been set to a STATUS of CR\_ACCEPTED, CR\_COUNTEROFFER, or any final state. The CR\_DISPOSITION must be updated along with CR\_DISPOSITION\_TIME to indicate if the associated request was granted in full (FULL), in part (PARTIAL), denied (NONE) or voluntarily withdrawn (WITHDRAWN) by the Transmission Customer.

OASIS Template:***cgupdate***

1. **Input**

CONTINUATION\_FLAG

ASSIGNMENT\_REF (Required)

CR\_PRIMARY\_PROVIDER\_CODE (Required)

CR\_ASSIGNMENT\_REF (Required)

CR\_SERVICE\_INCREMENT

CR\_TS\_CLASS

CR\_TS\_TYPE

CR\_DISPOSITION (Required)

CR\_DISPOSITION\_TIME

**2. Response**

RECORD\_STATUS

CONTINUATION\_FLAG

ASSIGNMENT\_REF

CR\_PRIMARY\_PROVIDER\_CODE

CR\_ASSIGNMENT\_REF

CR\_SERVICE\_INCREMENT

CR\_TS\_CLASS

CR\_TS\_TYPE

CR\_DISPOSITION

CR\_DISPOSITION\_TIME

ERROR\_MESSAGE

**Revisions and Additions to Existing Business Practice Standard WEQ-003**

**(OASIS S&CP Data Dictionary)**

**(Additions to be inserted into WEQ-003 in alphabetic order)**

**(Revisions made to WEQ-003 as per data dictionary element name)**

| **003-0** OASIS DATA DICTIONARY Version 1.5 |
| --- |
| **Data Dictionary Element Name** | **Alias** | **Field Format :****minimum characters** **{type of ASCII}** **maximum characters** | **Restricted Values** | **Definition of Data Element** |
| CG\_DEADLINE | CGDLINE | 0,16{ALPHANUMERIC}16  | Valid date and time to seconds:yyyy+mo+dd+hh+mm+ss+tz | Date/time set by the Transmission Provider’s OASIS, identifying the deadline for the Transmission Customer to identify all the Coordinated Requests in the Coordinated Group and setting CG\_STATUS to ATTESTED as specified in Business Practice Standard WEQ-001.xx. |
| CG\_STATUS | CGSTAT | 0{ALPHANUMERIC}8 | Valid values:PROPOSEDATTESTEDnull | Set by the Transmission Customer to identify a transmission request as a Coordinated Request when set to non-null value. Must be set to PROPOSED on initial submission to be considered as a Coordinated Request. Must be set to ATTESTED once all other Coordinated Requests have been submitted and the Coordinated Requests have been identified as part of the Coordinated Group, and the Transmission Customer attests that the Coordinated Group meets the contiguity requirements established in Business Practice Standard WEQ-001-xx. |
|  |  |  |  |  |
| CR\_ASSIGNMENT\_REF | CRAREF | 1{ALPHANUMERIC}12 | Unique value | The unique reference number assigned by a Transmission Information Provider for a transmission service request that is part of a Coordinated Group, i.e., a Coordinated Request. |
| CR\_DISPOSITION | CRDISP | 4{ALPHANUMERIC}9 | Valid ValuesPENDINGDELETEDCONFIRMEDWITHDRAWNFULLPARTIALNONE | Disposition of the Coordinated Request in a Coordinated Group as follows:PENDING – specified in ***transcust*** template**,** initial status for a Coordinated Request that has been submitted but not yet acted on by the Transmission ProviderDELETED – specified in ***cgupdate*** to remove a Coordinated Request from the Coordinated Group.CONFIRMED – specified in ***cgupdate*** when an existing reservation is included in the Coordinated Group to meet the contiguity requirements.WITHDRAWN – specified in ***cgupdate*** to indicate that the Coordinated Request was voluntarily withdrawn from consideration (long-term PTP and network requests only).FULL – specified in ***cgupdate*** to indicate that another? Coordinated Request was granted at the full requested capacity, i.e., the Coordinated Request was set to CR\_ACCEPTED.PARTIAL – specified in ***cgupdate*** to indicate that another?Coordinated Request was granted at less than the full requested capacity, i.e., the Coordinated Request was set to CR\_COUNTEROFFER.NONE – Indicates the Coordinated Request was set to some final state other than CR\_ACCEPTED or CR\_COUNTEROFFER, e.g., REFUSED. |
| CR\_DISPOSITION\_TIME | CRDTIM | 0,16{ALPHANUMERIC}16  | Valid date and time to seconds:yyyy+mo+dd+hh+mm+ss+tz | Date/time identifying when the Coordinated Request was set to the STATUS CR\_ACCEPTED, CR\_COUNTEROFFER or any other final state by the Transmission Provider Specified as a null value until Transmission Provider action has been taken. |
| CR\_PRIMARY\_PROVIDER\_CODE | CRCODE | 1{ALPHANUMERIC}4 | Unique code | Unique code assigned to the Primary Provider of transmission service upon whose transmission system a Coordinated Request has been made.  |
| CR\_SERVICE\_INCREMENT | CRINCR | 1{ALPHANUMERIC}8 | Valid ValuesMONTHLYYEARLY{Registered} | The increment of service requested in a Coordinated Request. Only certain transmission service increments are valid for Coordinated Requests, per Business Practice Standard WEQ-001.xx. |
| CR\_TS\_CLASS | CRCLASS | 1{ALPHANUMERIC}20 | Valid Values:FIRMNON-FIRMSECONDARY{Registered} | The transmission service class specified in a Coordinated Request. Only certain transmission service classes are valid for Coordinated Requests as set by Business Practice Standard WEQ-001.xx. |
| CR\_TS\_TYPE | CRTYPE | 1{ALPHANUMERIC}20 | Valid ValuesPOINT\_TO\_POINTNETWORK{Registered} | The transmission service type specified in a Coordinated Request. Only certain transmission service types are valid for Coordinated Requests per Business Practice Standard WEQ-001.xx. |
|  |  |  |  |  |
| STATUS | STATUS | 5{ALPHANUMERIC}25 | Valid Values:QUEUEDINVALIDRECEIVEDSTUDYREBIDCOUNTEROFFERCR\_COUNTEROFFERDECLINEDSUPERSEDEDACCEPTEDCR\_ACCEPTEDREFUSEDCONFIRMEDWITHDRAWNDISPLACEDANNULLEDRETRACTED | QUEUED – initial status assigned by the TSIP on receipt of "Transmission Customer services purchase request".INVALID – assigned by the TSIP or Transmission Provider indicating an invalid field in the request, such as improper POR, POD, SOURCE, SINK, etc. (Final state).RECEIVED – assigned by the Transmission Provider or Reseller to acknowledge QUEUED requests and indicate the service request is being evaluated, including for completing the required ancillary services.STUDY – assigned by the Transmission Provider or Reseller to indicate some level of study is required or being performed to evaluate service request.REFUSED – assigned by the Transmission Provider or Reseller to indicate service request has been denied due to lack of ATC. (Final state).COUNTEROFFER – assigned by Transmission Provider or Reseller to indicate that a new OFFER\_PRICE and/or CAPACITY\_GRANTED over time is being proposed in the negotiation of requested service (i.e., offering of Partial Service or negotiation of price).CR\_COUNTEROFFER – assigned by Transmission Provider to indicate that a new OFFER\_PRICE and/or CAPACITY\_GRANTED over time is being proposed in the negotiation of requested service for a Coordinated Request (i.e., offering of Partial Service or negotiation of price).REBID – assigned by the Transmission Customer to indicate that a new value for BID\_PRICE and/or CAPACITY\_REQUESTED over time is being proposed.SUPERSEDED – assigned by the Transmission Provider or Reseller when a request which has not yet been confirmed is preempted by another reservation request. (Final state). |
| STATUS (cont.) |  |  |  | ACCEPTED – assigned by the Transmission Provider or Reseller to indicate the service request at the designated BID\_PRICE and CAPACITY\_REQUESTED has been approved/accepted. Depending upon the type of ancillary services required, the Seller may or may not require all ancillary service reservations to be completed before accepting a request.CR\_ACCEPTED - assigned by the Transmission Provider to indicate the Coordinated Request at the designated BID\_PRICE and CAPACITY\_REQUESTED has been approved/accepted. Depending upon the type of ancillary services required, the Seller may or may not require all ancillary service reservations to be completed before accepting a Coordinated Request.DECLINED – assigned by the Transmission Provider or Reseller to indicate that the terms and conditions of the request, such as the BID\_PRICE, are unacceptable and that negotiations are terminated or that contractual terms have not been met. (Final state).RETRACTED - assigned by the Transmission Provider or Reseller when the Transmission Customer fails to confirm or withdraw the request within the required time period. (Final state).WITHDRAWN – assigned by the Transmission Customer during a request evaluation to withdraw the request from any further action. (Final state).CONFIRMED – assigned by the Transmission Customer in response to the Transmission Provider or Reseller posting "ACCEPTED", “CR\_ACCEPTED”, ~~or~~ “COUNTEROFFER”, or ”CR\_COUNTEROFFER” status, to confirm service or the NITS Application. Once a request has been "CONFIRMED", a Transmission Service reservation exits or the NITS Application is completed. (Final state, unless overridden by DISPLACED or ANNULLED state). |
| STATUS (cont.) |  |  |  | DISPLACED – (PTP and Secondary Network Transmission Service only) - assigned by the Transmission Provider or Reseller when a "CONFIRMED" reservation from a Transmission Customer is displaced by a higher priority reservation, and the Transmission Customer is not offered or has not exercised right of first refusal (i.e. refused to match terms of new request). (Final state).ANNULLED – assigned by the Seller when, by mutual agreement with the Transmission Customer, a confirmed reservation, NITS Application, or pre-confirmed request is to be voided or assigned unilaterally by the Transmission Provider when a Resale reservation is to be voided or NITS Application is to be voided. (Final state). |

**Revisions and Additions to Existing Business Practice Standard WEQ-013**

**(OASIS Implementation Guide)**

**(Revisions and additions made to WEQ-013 as per standard number)**

**013-2 OASIS TRANSACTION PROCESSING**

The basic OASIS transaction process is described below. This OASIS Implementation Guide also provides additional requirements and guidance for processing specific types of business transactions in the implementation of OASIS. Note that the Transmission Provider may, but is not limited to, interacting with OASIS using the Transmission Customer template or user interface. Transmission Providers may also implement OASIS functions on back-end systems and are not required to perform all transaction processing on an OASIS Node proper, provided that the results of all transaction processing are correctly posted on OASIS as required by the tariff, regulation, or other established Business Practices.

The following is a summary of the templates used and actions that may be taken by the Transmission Customer and Seller to execute a transaction on OASIS. Note that the OASIS Business Practice Standards require all template functionality to be provided through a user interface. While this discussion focuses on template execution, all actions must be supported through a browser-based user interface. Detailed examples of the transaction process and description of the business logic envisioned to be implemented as part of the Transmission Provider’s OASIS or other back-end transaction support services are provided in subsequent sections of this OASIS Implementation Guide.

a. The ***transrequest***and ***ancrequest*** templates shall be used by the Transmission Customer to enter a transaction request for specific Transmission Services or ancillary services from a specified Seller. All pertinent transaction-specific information must be provided in the template Data Elements.

b. The ***transstatus***and ***ancstatus*** templates shall be used by both Transmission Customer and Seller to query for the current transaction information (e.g., STATUS). Alternatively, the Transmission Customer may request dynamic notification per Business Practice Standard WEQ-002-4.2.10.3whenever the transaction data is changed.

c. The ***transsell***and ***ancsell*** templates shall be used by the Seller to indicate to the Transmission Customer whether the request is acceptable or not by setting the transaction STATUS to one of **RECEIVED, INVALID, STUDY, COUNTEROFFER, CR\_COUNTEROFFER, ACCEPTED, CR\_ACCEPTED, REFUSED, SUPERSEDED, DECLINED, DISPLACED, ANNULLED,** or **RETRACTED**. A Transmission Provider as the Seller may use the *transsell and ancsell* templates to act on requests or may use proprietary software solutions to perform this function in a similar manner.

d. The ***transcust***and ***anccust*** templates shall be used by the Transmission Customer to indicate to the Seller whether they wish to negotiate, confirm or withdraw the transaction by setting the transaction STATUS to one of **REBID, CONFIRMED,** or **WITHDRAWN.**

e. The ***transassign***and ***ancassign*** templates shall be used by the Seller to notify the Transmission Provider of the transfer of rights from the Seller to the Transmission Customer consummated off the OASIS Node.

f. The source of all Transmission Customer and Seller contact information shall be provided under Business Practice Standard WEQ-002-3.1. Therefore, it shall not be input as part of uploads, but shall be provided as part of all transaction downloads.

g. OASIS Nodes shall accept a Seller-initiated change in STATUS to ACCEPTED or CR\_ACCEPTED only when OFFER\_PRICE matches BID\_PRICE.

h. OASIS Nodes shall accept a Transmission Customer-initiated change in STATUS to CONFIRMED only when BID\_PRICE matches OFFER\_PRICE.

i. If CAPACITY\_GRANTED is null when STATUS is being changed to ACCEPTED, CR\_ACCEPTED or CONFIRMED, the OASIS Node shall set it equal to CAPACITY\_REQUESTED.

j. OASIS Nodes shall set the initial transaction STATUS of the request to QUEUED and assign a unique ASSIGNMENT\_REF identifier for the transaction.

k. If the Transmission Customer has identified the transaction as PRECONFIRMED and the Seller has set the transaction STATUS to ACCEPTED, OASIS Nodes shall automatically set the transaction’s STATUS to CONFIRMED without any Transmission Customer interaction required. For Transfers (PART\_TRANSFER and FULL\_TRANSFER), the Seller must have posted ACCEPTED in the STATUS and the Transmission Provider must have posted Y in the PRIMARY\_PROVIDER\_APPROVAL Data Elements respectively before the OASIS Nodes will automatically set the transaction’s STATUS to CONFIRMED.

l. If the Transmission Customer has identified the transaction as PRECONFIRMED and the Seller has set the transaction STATUS to COUNTEROFFER, OASIS Nodes shall take no automatic confirmation action on the transaction and require explicit confirmation by the Transmission Customer.

m. If the Transmission Customer has identified the transaction as PRECONFIRMED and the Seller has set the transaction STATUS to CR\_ACCEPTED, OASIS Nodes shall not set the transaction’s STATUS to CONFIRMED until after expiration of the Transmission Customer confirmation time limit, as specified in Business Practice Standard WEQ-001-4.13 **Table 4-2 Request Timing Requirements**, without Transmission Customer interaction.

n. If the Transmission Customer has identified the transaction as PRECONFIRMED and the Seller has set the transaction STATUS to CR\_COUNTEROFFER, OASIS Nodes shall set the transaction’s STATUS to RETRACTED after expiration of the Transmission Customer confirmation time limit, specified in Business Practice Standard WEQ-001-4.13 **Table 4-2 Request Timing Requirements**, without any Transmission Customer interaction required.

**013-2.2 Transaction Status**

The following are the defined values that may appear in the STATUS Data Element associated with a given OASIS transaction:

QUEUED = initial status assigned by the TSIP on receipt of "Transmission Customer services purchase request."

INVALID = assigned by the TSIP, or Transmission Provider indicating an invalid field in the request, such as improper POR, POD, SOURCE, SINK, etc. (Final state)

RECEIVED = assigned by the Transmission Provider or Reseller to acknowledge QUEUED requests and indicate the Transmission Service request is being evaluated, including for completing the required ancillary services.

STUDY= assigned by the Transmission Provider or Reseller to indicate some level of study is required or being performed to evaluate Transmission Service request.

REFUSED = assigned by the Transmission Provider or Reseller to indicate Transmission Service request has been denied due to lack of ATC. (Final state)

COUNTEROFFER = assigned by the Transmission Provider or Reseller to indicate that a new value for OFFER\_PRICE and/or CAPACITY\_GRANTED over time is being proposed in the negotiation of requested Transmission Service, (i.e., offering of Partial Service or negotiation of price).

CR\_COUNTEROFFER = assigned by Transmission Provider to indicate that a new OFFER\_PRICE and/or CAPACITY\_GRANTED over time is being proposed in the negotiation of requested service for a Coordinated Request (i.e., offering of Partial Service or negotiation of price).

REBID = assigned by the Transmission Customer to indicate that a new value for BID\_PRICE and/or CAPACITY\_REQUESTED over time is being proposed.

SUPERSEDED = assigned by the Transmission Provider or Reseller when a request which has not yet been confirmed is preempted by another Transmission Service request. (Final state)

ACCEPTED = assigned by the Transmission Provider or Reseller to indicate the Transmission Service request at the designated BID\_PRICE and CAPACITY\_REQUESTED has been approved/accepted. Depending upon the type of ancillary services required, the Seller may or may not require all ancillary service reservations to be completed before accepting a Transmission Service request.

CR\_ACCEPTED = assigned by the Transmission Provider to indicate the Coordinated Request at the designated BID\_PRICE and CAPACITY\_REQUESTED has been approved/accepted. Depending upon the type of ancillary services required, the Seller may or may not require all ancillary service reservations to be completed before accepting a Coordinated Request

DECLINED = assigned by the Transmission Provider or Reseller to indicate that the terms and conditions of the Transmission Service request, such as the BID\_PRICE, are unacceptable and that negotiations are terminated or that contractual terms and conditions have not been met. (Final state)

CONFIRMED = assigned by the Transmission Customer in response to the Transmission Provider or Reseller posting ACCEPTED or COUNTEROFFER STATUS, to confirm service. Once a request has been set to CONFIRMED, STATUS a Transmission Service reservation exists. (Final state, unless overridden by DISPLACED or ANNULLED state)

WITHDRAWN = assigned by the Transmission Customer during an Transmission Service request evaluation to withdraw the Transmission Service request from any further action. (Final state)

DISPLACED = assigned by the Transmission Provider or Reseller when a confirmed Transmission Service reservation from a Transmission Customer is displaced by a higher priority Transmission Service reservation and the Transmission Customer is not offered or has not exercised right of first refusal (i.e. refused to match terms of new request). (Final state)

ANNULLED = assigned by the Seller when, by mutual agreement with the Transmission Customer, a confirmed Transmission Service reservation or pre-confirmed Transmission Service request is to be voided, or assigned unilaterally by the Transmission Provider when a Resale Transmission Service reservation is to be voided. (Final state)

RETRACTED = assigned by the Transmission Provider or Reseller when the Transmission Customer fails to confirm or withdraw the Transmission Service request within the required time period. (Final state)

\_

**Exhibit 2 - State Diagram of Purchase Transactions**

Pre-confirmed Transmission Service requests for short-term firm and non-firm PTP may not be withdrawn by the Transmission Customer unless the request has been counteroffered by the Seller. Pre-confirmed Coordinated Requests for short-term firm and non-firm PTP may be WITHDRAWN as specified in Business Practice Standard WEQ-001-4.9.4 and WEQ-001-xx.4.2.1. At the Transmission Customer’s request, however, the Transmission Provider may use its discretion and move the request from any STATUS value to ANNULLED to void the transaction. For clarity, this is not shown in the above exhibit. Pre-confirmed request for long-term firm PTP, NITS, and Redirects on a non-firm basis may be withdrawn by the Transmission Customer at any time prior to confirmation.

**013-2.2.1 ACCEPTED** and CR\_ACCEPTED **Status Restrictions**

OASIS shall block a request from being set to a STATUS of ACCEPTED or CR\_ACCEPTED by the Seller if the values for OFFER\_PRICE and CAPACITY\_GRANTED, do not exactly match the corresponding values for BID\_PRICE and CAPACITY\_REQUESTED over every START\_TIME/STOP\_TIME interval. This ensures that both parties to the transaction are in agreement to the terms of the request.

If the request is flagged as PRECONFIRMED=YES and CG\_STATUS is null, OASIS shall immediately set the request STATUS to CONFIRMED when it is accepted, with the exception of the PART\_TRANSFER and FULL\_TRANSFER requests. For these two REQUEST\_TYPEs, OASIS shall require that STATUS has been set to ACCEPTED and PRIMARY\_PROVIDER\_APPROVAL has been set to Y before moving the request STATUS to CONFIRMED.

If the request is flagged as PRECONFIRMED=YES and CG\_STATUS is non-null, i.e., it is a Coordinated Request, OASIS shall not set the request STATUS to CONFIRMED until after expiration of the Transmission Customer confirmation time limit specified in Business Practice Standard WEQ-001-4.13 **Table 4-2 Request Timing Requirements**,

**013-2.3** Basic OASIS Transaction Handling

Requests to reserve or purchase Transmission Service or ancillary service shall be submitted to OASIS by the Transmission Customer via the ***transrequest*** or ***ancrequest*** templates.

The Seller specified in the request must be the Transmission Provider for REQUEST\_TYPE of ORIGINAL, REDIRECT, RELINQUISH, RENEWAL, or DEFERRAL. The Seller specified in the request must be a registered entity other than the Transmission Provider for REQUEST\_TYPE of RESALE, FULL\_TRANSFER or PART\_TRANSFER. The Seller may be either the Transmission Provider or another registered entity for REQUEST\_TYPE of MATCHING.

OASIS should screen submitted requests to validate proper use of REQUEST\_TYPE. Additional restrictions based on specific REQUEST\_TYPEs are detailed in subsequent Business Practice Standards. Validations on the Transmission Service requested, Transmission Service start time and duration, submission time, etc., are established by Transmission Provider’s Business Practice.

Once successfully submitted on OASIS, the Seller may take any of the following actions via the ***transsell/ancsell*** template:

* Acknowledge receipt by setting STATUS to RECEIVED or STUDY
* Deny the request by setting STATUS to INVALID, DECLINED, or REFUSED
* Approve the request by setting STATUS to ACCEPTED, CR\_ACCEPTED, ~~or~~ COUNTEROFFER or CR\_COUNTEROFFER

At any time during the processing of a request, the Transmission Customer may set STATUS to WITHDRAWN to remove the request from further consideration by the Seller with the exception of certain pre-confirmed requests as specified in Business Practice Standard WEQ-013-2.2.

Once the Seller approves the request, the Transmission Customer may take any of the following actions via the ***transcust/anccust*** template:

* Withdraw the request
* Continue negotiation of the request by setting STATUS to REBID
* Complete the request by setting STATUS to CONFIRMED

Prior to final confirmation by the Transmission Customer, the Seller may override their approval of the request with the following actions:

* Retract approval based on exceeding of Transmission Customer confirmation time limits and/or scheduling deadlines or other criteria established by Business Practice by setting the STATUS to RETRACTED
* Retract approval based on receipt of a higher priority competing request by setting the STATUS to SUPERSEDED

Once confirmed on OASIS by the Transmission Customer a Transmission Service reservation shall be deemed to exist. The Reseller or the Transmission Provider makes the following changes to a confirmed Transmission Service reservation:

* Nullify the reservation for cause by setting the STATUS to ANNULLED
* Displace the reservation in-whole to accommodate a higher priority competing request by setting the STATUS to DISPLACED

Seller’s shall provide a reason in the SELLER\_COMMENTS whenever a service request is set to the STATUS of INVALID, REFUSED, DECLINED, RETRACTED, SUPERSEDED, ANULLED or DISPLACED. The Transmission Provider, when not acting as the Seller, shall provide a reason in the PROVIDER\_COMMENTS whenever a service request is set to the STATUS of ANNULLED or DISPLACED.

**013-2.6.1 ORIGINAL Requests**

Original requests shall be submitted by the Transmission Customer to arrange for new Transmission Service or ancillary service with the Transmission Provider.

The following are specific restrictions or requirements for OASIS Transmission Service requests with REQUEST\_TYPE of ORIGINAL. If REQUEST\_TYPE is not specified by the Transmission Customer and SELLER\_CODE and SELLER\_DUNS are the same as PRIMARY\_PROVIDER\_CODE and PRIMARY\_PROVIDER\_DUNS, OASIS shall default REQUEST\_TYPE to ORIGINAL.

| **Data Element** | **Restriction/Requirement** |
| --- | --- |
| REQUEST\_TYPE | Must be ORIGINAL |
| RELATED\_REF | Must be null |
| SELLER\_CODE | Must match PRIMARY\_PROVIDER\_CODE |
| SELLER\_DUNS | Must match PRIMARY\_PROVIDER\_DUNS |
| ROLLOVER\_WAIVED | If the Transmission Customer does not wish a Long-Term Firm Point-to-Point Transmission Service request to be evaluated for conveyance of rollover rights, the Transmission Customer must submit the request with the ROLLOVER\_WAIVED data element set to ‘Y’ in the ***transrequest***  template. |
| PRECONFIRMED | Must be ‘YES’ for a Coordinated Request associated with a Coordinated Group. |
| CG\_STATUS | If the Transmission Customer wishes this request to be a Coordinated Request associated with a Coordinated Group, the Transmission Customer must submit the request with the CG\_STATUS data element set to PROPOSED in the ***transrequest*** template. |

**Note:** Data Elements are listed on basis of importance, which may be different from the order required in the template.

Additional requirements related to the specification of service points, attributes, pricing, and timing are subject to the Transmission Provider’s Business Practices.

The Transmission Customer may submit a time varying profile of capacity as allowed by the Transmission Provider’s Business Practice by repeating the template Data Elements of BID\_PRICE, CAPACITY\_REQUESTED, START\_TIME and STOP\_TIME in template continuation records. The segments of any submitted profile must not overlap in time.

Once submitted by the Transmission Customer the earliest START\_TIME and latest STOP\_TIME defines the overall term of service. Any further negotiation or offer of Partial Service may modify the segments/profiles times, but any such modifications must span the entire period from the original earliest START\_TIME to latest STOP\_TIME.

If the Transmission Customer does not wish a long-term firm PTP Transmission Service request to be evaluated for conveyance of rollover rights, the Transmission Customer must submit the request with the ROLLOVER\_WAIVED data element set to Y in the ***transrequest*** template.

If the Transmission Customer wishes this request to be a Coordinated Request associated with a Coordinated Group, the Transmission Customer must submit the request with the CG\_STATUS data element set to “PROPOSED” in the ***transrequest*** template. Additional requirements for handling Coordinated Requests are specified in WEQ-013-2.6.9.

**013-2.6.1.1** Offering of Partial Service

If in the evaluation of a Transmission Service request, the Transmission Provider determines that only a portion of the Transmission Customer's requested capacity (CAPACITY\_REQUESTED Data Element) can be accommodated and that the Transmission Provider is obligated or elects to offer the Transmission Customer only a portion of the requested capacity, the Transmission Provider shall set the values for START\_TIME, STOP\_TIME, CAPACITY\_GRANTED, and OFFER\_PRICE as appropriate, and set the request STATUS to COUNTEROFFER or CR\_COUNTEROFFER.

If the CAPACITY\_REQUESTED and/or CAPACITY\_GRANTED are not constant over time, continuation records shall be used to convey the time varying profile of MW capacity associated with the Transmission Service request. The profile of CAPACITY\_GRANTED and OFFER\_PRICE must span the entire START\_TIME to STOP\_TIME interval initially requested by the Transmission Customer even if CAPACITY\_GRANTED is zero.

The Transmission Customer shall recognize the offer of Partial Service by CAPACITY\_GRANTED not being equal to CAPACITY\_REQUESTED and the request STATUS of COUNTEROFFER or CR\_COUNTEROFFER. The Transmission Customer may elect to set the request STATUS to CONFIRM, WITHDRAW, or REBID for the Partial Service using the ***transcust*** template. To rebid for Partial Service the Transmission Customer shall specify the revised START\_TIME, STOP\_TIME, CAPACITY\_REQUESTED and BID\_PRICE values and set the request STATUS to REBID using the ***transcust*** template. OASIS shall restrict CAPACITY\_REQUESTED on a rebid to not exceed the Seller’s most recent CAPACITY\_GRANTED over time.

If the Transmission Service reservation request was marked preconfirmed by the Transmission Customer and an offer of Partial Service is extended, the reservation request must be explicitly confirmed by the Transmission Customer. The OASIS Node shall not automatically confirm a request where CAPACITY\_REQUESTED and/or BID\_PRICE does not equal CAPACITY\_GRANTED and/or OFFER\_PRICE over time when/if an attempt is made to set STATUS to ACCEPTED or CR\_ACCEPTED.

The Transmission Provider shall use this same process in handling the deferral to the start of Transmission Service due to delays in completing the necessary transmission system studies associated with the request. In these cases, the Transmission Provider shall document the deferral by setting an initial Transmission Service profile record with CAPACITY set to zero MWs and START\_TIME set to the originally requested START\_TIME and STOP\_TIME coincident with the delayed start of service. The Transmission Provider shall then specify the capacity to be made available to the Transmission Customer in one or more subsequent Transmission Service profile continuation records by defining START\_TIME, STOP\_TIME, CAPACITY\_GRANTED and OFFER\_PRICE as appropriate.

Note that OASIS must verify that the values for CAPACITY\_REQUESTED and BID\_PRICE match the values for CAPACITY\_GRANTED and OFFER\_PRICE over each START\_TIME/STOP\_TIME interval, and block any attempt to set request status to ACCEPTED, CR\_ACCEPTED, or CONFIRMED if these Data Elements are not equal. This ensures that both parties to the transaction agree to the final term and price of service.

**013-2.6.1.2** Negotiation of Price

Negotiation of price is initiated by the Transmission Customer submitting a service request (via ***transrequest/ancrequest*** templates) with a BID\_PRICE that is different (higher or lower) from the currently posted offer price, or the tariff rate, for that service. The following negotiation process is required where the Seller is the Transmission Provider. Resales or Transfers between Transmission Customers may use this process, but there is no obligation on the (Re)Seller to offer a negotiated rate to other Transmission Customers.

If the Seller determines that the BID\_PRICE is acceptable, the following actions must be taken (via ***transsell/ancsell*** templates):

* Update the currently posted offer price for the service requested and all other applicable services offered as dictated by current discounting policy (e.g., all unconstrained paths to the same POD) to match BID\_PRICE;
* Update the request’s NEGOTIATED\_PRICE\_FLAG to L or H if the BID\_PRICE was lower than or higher than, respectively, the posted price when the request was submitted;
* Set the OFFER\_PRICE equal to the BID\_PRICE;
* Set the CAPACITY\_GRANTED appropriately (if left null or undefined, OASIS shall set CAPACITY\_GRANTED equal to CAPACITY\_REQUESTED when STATUS is set to ACCEPTED or CR\_ACCEPTED);
* Set the request STATUS to ACCEPTED or CR\_ACCEPTED (or COUNTEROFFER or CR\_COUNTEROFFER if offering Partial Service)

The Transmission Customer may then confirm the purchase or withdraw the request by updating the request STATUS (via ***transcust/anccust*** templates).

If the Seller determines that the BID\_PRICE is unacceptable, and negotiation of price is not going to be entertained, the Seller shall set the request STATUS to DECLINED (via ***transsell/ancsell*** templates):

If the Seller elects to enter into price negotiation, the following actions must be taken (via ***transsell/ancsell*** templates):

* If the price to be counter offered by the Transmission Provider to the Transmission Customer is different than the currently posted offer price:
	+ Update the currently posted offer price for the service requested and all other applicable services offered as dictated by current discounting policy (e.g., all unconstrained paths to the same POD) to match the price to be counteroffered;
	+ Update the request’s NEGOTIATED\_PRICE\_FLAG to L or H if the price to be counter offered is lower than or higher than, respectively, the posted price when the request was submitted;
* Set the OFFER\_PRICE and CAPACITY\_GRANTED appropriately;
* Set the request STATUS to COUNTEROFFER or CR\_COUNTEROFFER.

The Transmission Customer may then confirm the purchase, withdraw the request, or propose a new BID\_PRICE by performing the following (via ***transcust/anccust*** templates):

* Update the request BID\_PRICE appropriately;
* Set the request STATUS to REBID or CONFIRMED.

If the Transmission Customer has set the status to REBID, the Seller may then act on the new BID\_PRICE and/or CAPACITY\_REQUESTED over time by declining the request, accepting the BID\_PRICE/CAPACITY\_REQUESTED, or counter offering a new OFFER\_PRICE and/or CAPACITY\_GRANTED over time using the same sequence of actions as stated above in Business Practice Standard WEQ-013-2.6.1.2.

Negotiation of price may also be initiated on receipt of a request for similar service submitted with a higher BID\_PRICE. If required by Business Practice, the Seller (Transmission Provider) may update any ACCEPTED or CR\_ACCEPTED but unconfirmed requests to COUNTEROFFER or CR\_COUNTEROFFER with the associated OFFER\_PRICE set to meet the higher received BID\_PRICE, and the negotiation of price can proceed as described above in Business Practice Standard WEQ-013-2.6.1.2.

Note that OASIS must verify that the values for CAPACITY\_REQUESTED and BID\_PRICE match the values for CAPACITY\_GRANTED and OFFER\_PRICE over each START\_TIME/STOP\_TIME interval, and block any attempt to set request status to ACCEPTED, CR\_ACCEPTED, or CONFIRMED if these Data Elements are not equal. This ensures that both parties to the transaction agree to the final term and price of service.

**013-2.6.2 RENEWAL Requests**

Transmission Customers shall use the REQUEST\_TYPE of RENEWAL only to exercise rollover rights. Renewal requests must always specify the Transmission Provider as Seller.

The following are specific restrictions or requirements for OASIS Transmission Service requests with REQUEST\_TYPE of RENEWAL.

| **Data Element** | **Restriction/Requirement** |
| --- | --- |
| REQUEST\_TYPE | Must be RENEWAL |
| RELATED\_REF | Must specify an ASSIGNMENT\_REF that is associated with an existing confirmed firm Transmission Service reservation or a pending Redirect on a firm basis held by the Transmission Customer that 1) has rollover rights or may be conveyed rollover rights, and 2) has not had the deadline for the exercise of rollover rights pass.  |
| SELLER\_CODE | Must match PRIMARY\_PROVIDER\_CODE |
| SELLER\_DUNS | Must match PRIMARY\_PROVIDER\_DUNS |
| PATH | Must represent the same corresponding service points in the reservation/request specified in RELATED\_REF |
| POINT\_OF\_RECEIPT |
| POINT\_OF\_DELIVERY |
| SOURCE |
| SINK |
| SERVICE\_INCREMENT | Must specify a set of valid Transmission Service attributes recognized by the Transmission Provider as a valid service designation eligible for exercising of rollover rights held by the reservation/request specified in RELATED\_REF |
| TS\_CLASS |
| TS\_TYPE |
| TS\_PERIOD |
| TS\_WINDOW |
| TS\_SUBCLASS |
| START\_TIME | Must match the STOP\_TIME of the reservation/request specified in RELATED\_REF  |
| STOP\_TIME | With START\_TIME, must specify a valid interval of service eligible for exercising of renewal/rollover rights held by the reservation/request specified in RELATED\_REF |
| CAPACITY\_REQUESTED | Must be less than or equal to the amount of capacity eligible for renewal/rollover over the interval of service |
| BID\_PRICE | Must specify the price to be paid for the service requested |
| ROLLOVER\_WAIVED | If the Transmission Customer does not wish a Long-Term Firm Point-to-Point Transmission Service request to be evaluated for conveyance of rollover rights, the Transmission Customer must submit the request with the ROLLOVER\_WAIVED data element set to ‘Y’ in the ***transrequest***  template. |
| PRECONFIRMED | Must be ‘YES’ for a Coordinated Request associated with a Coordinated Group. |
| CG\_STATUS | If the Transmission Customer wishes this request to be a Coordinated Request associated with a Coordinated Group, the Transmission Customer must submit the request with the CG\_STATUS data element set to PROPOSED in the ***transrequest*** template. |

**Note**: Data Elements are listed on basis of importance, which may be different from the order required in the template.

Renewal requests must be submitted on OASIS prior to the deadline for exercising rollover rights as established by the Transmission Provider’s tariff or Business Practice.

CUSTOMER\_CODE and CUSTOMER\_DUNS in the renewal request should correspond to the CUSTOMER\_CODE and CUSTOMER\_DUNS in the RELATED\_REF reservation. If not, the Transmission Provider should verify that the submitting Transmission Customer has a valid agency agreement with the original service agreement holder and is authorized to submit such a request on behalf of that entity.

The Transmission Service attributes, e.g., TS\_CLASS, etc., should match the corresponding attributes in the reservation specified in RELATED\_REF. However, changes may be made to these attributes over time such that some differences are necessary to accommodate changes in the Transmission Provider’s Business Practices. This also applies to changes in service points, (e.g., PATH, etc., over time).

Renewal requests may be subject to offering of Partial Service as a result of competition and/or failure to exercise a right of first refusal.

If the Transmission Customer does not wish a long-term firm PTP Transmission Service request to be evaluated for conveyance of rollover rights, the Transmission Customer must submit the request with the ROLLOVER\_WAIVED Data Element set to ‘Y’ in the ***transrequest***  template.

If the Transmission Customer wishes this request to be a Coordinated Request associated with a Coordinated Group, the Transmission Customer must submit the request with the CG\_STATUS data element set to “PROPOSED” in the ***transrequest*** template. Additional information on the handling of Coordinated Requests is specified in WEQ-013-2.6.9.

**013-2.6.3 MATCHING Requests**

Transmission Customers shall use the REQUEST\_TYPE of MATCHING to exercise right of first refusal to avoid being displaced by a higher priority competing request.

The following are specific restrictions or requirements for OASIS Transmission Service requests with REQUEST\_TYPE of MATCHING.

| **Data Element** | **Restriction/Requirement** |
| --- | --- |
| REQUEST\_TYPE | Must be MATCHING |
| RELATED\_REF | Must specify the ASSIGNMENT\_REF associated with an existing confirmed Transmission Service reservation held by the Transmission Customer that is subject to displacement.  |
| PATH | Must represent the same corresponding service points in the reservation specified in RELATED\_REF |
| POINT\_OF\_RECEIPT |
| POINT\_OF\_DELIVERY |
| SOURCE |
| SINK |
| SERVICE\_INCREMENT | Must specify a set of valid Transmission Service attributes that meet the requirements to exercise right of first refusal |
| TS\_CLASS |
| TS\_TYPE |
| TS\_PERIOD |
| TS\_WINDOW |
| TS\_SUBCLASS |
| START\_TIME | Must specify the new requested time for the start of Transmission Service |
| STOP\_TIME | With START\_TIME, must specify a valid interval of service based on the Transmission Service attributes |
| CAPACITY\_REQUESTED | Must be equivalent to the amount of capacity required to retain the Transmission Customer’s rights originally held on RELATED\_REF |
| BID\_PRICE | Must specify the price to be paid for the service requested |
| PRECONFIRMED | Must be ‘YES’ for a Coordinated Request associated with a Coordinated Group |
| CG\_STATUS | If the Transmission Customer wishes this request to be a Coordinated Request associated with a Coordinated Group, the Transmission Customer must submit the request with the CG\_STATUS data element set to PROPOSED in the ***transrequest*** template. |

**Note**: Data Elements are listed on basis of importance, which may be different from the order required in the template.

If the Transmission Customer wishes this request to be a Coordinated Request associated with a Coordinated Group, the Transmission Customer must submit the request with the CG\_STATUS data element set to PROPOSED in the ***transrequest*** template. Additional requirements on handling Coordinated Requests are specified in WEQ-013-2.6.9.

**013-2.6.5 REDIRECT Requests**

The Redirect request is submitted by an existing firm PTP Transmission Customer to request the use of alternate PORs and/or PODs from the Transmission Provider. By definition, the Seller in a Redirect request must be the Transmission Provider even if those rights being redirected were acquired from another Transmission Customer via Resale or Transfer.

The following Business Practice Standards WEQ-013-2.6.5.1 through WEQ-013-2.6.5.2 set forth the requirements for submission of Redirect requests on either a firm or non-firm basis.

**013-2.6.5.1** Redirect on a Firm Basis

A Transmission Customer holding confirmed firm PTP rights may request the use of those rights on alternate PORs and/or PODs on a firm basis by submission of a Redirect request to the Transmission Provider as Seller. The following information must be submitted by the Transmission Customer in the Redirect request via the ***transrequest*** template.

| **Data Element** | **Restriction/Requirement** |
| --- | --- |
| REQUEST\_TYPE | Must be REDIRECT |
| RELATED\_REF | Must identify by ASSIGNMENT\_REF a confirmed Transmission Service reservation for firm PTP held by the submitting Transmission Customer |
| SELLER\_CODE | Must match PRIMARY\_PROVIDER\_CODE |
| SELLER\_DUNS | Must match PRIMARY\_PROVIDER\_DUNS |
| PATH | Must represent the new Transmission Service points being requested |
| POINT\_OF\_RECEIPT |
| POINT\_OF\_DELIVERY |
| SOURCE |
| SINK |
| SERVICE\_INCREMENT | Must represent a set of valid Transmission Service attributes for firm PTP offered by the Transmission Provider and being requested on the new service points by the Transmission Customer |
| TS\_CLASS |
| TS\_TYPE |
| TS\_PERIOD |
| TS\_WINDOW |
| TS\_SUBCLASS |
| START\_TIME | Must specify the requested start of Transmission Service and must be within the bounds of START\_TIME specified in the reservation identified in RELATED\_REF |
| STOP\_TIME | Must specify the requested stop/end of Transmission Service and must be within the bounds of STOP\_TIME specified in the reservation identified in RELATED\_REF, and with START\_TIME must represent a valid interval of service for the firm PTP being requested |
| CAPACITY\_REQUESTED | Must specify the amount of transmission capacity being requested |
| BID\_PRICE | Should specify the price for the service being requested; may be null |
| ROLLOVER\_WAIVED | May specify the desire to waive conveyance of rollover to the Redirect by specifying ‘Y’ at time of submission of the request to Redirect on a Firm basis request (retains rollover rights on the Parent Reservation) |
| PRECONFIRMED | Must be ‘YES’ for a Coordinated Request associated with a Coordinated Group |
| CG\_STATUS | If the Transmission Customer wishes this request to be a Coordinated Request associated with a Coordinated Group, the Transmission Customer must submit the request with the CG\_STATUS data element set to PROPOSED in the ***transrequest*** template. |

**Note**: Data Elements are listed on basis of importance, which may be different from the order required in the template.

The Transmission Provider shall evaluate each Redirect on a firm basis as any other new request for firm PTP. Transmission Provider Business Practices establish the requirements for service duration, submission time, evaluation time, confirmation time, etc.

OASIS or Transmission Provider procedures should verify that the Transmission Service reservation identified in RELATED\_REF meets all the requirements to support the redirect of Transmission Service rights to the new service points. This should include the validation that the current rights, the Capacity Available for Redirect, held on that reservation in the amount of the redirect over time have not been encumbered by any other confirmed Redirects, Resales, schedules, CCO conditions, etc. This capacity validation may occur at any point in the request process, but shall always be performed prior to setting the Redirect STATUS to CONFIRMED.

Once confirmed, the Transmission Service rights held on the RELATED\_REF reservation in the amount of the Redirect shall be permanently released by the Transmission Provider and conveyed to the Redirect reservation. The only mechanism for the Transmission Customer to return to the original PORs and/or PODs is to submit another Redirect request.

The impact on ATC for the reservation identified by RELATED\_REF shall be released and the impact of the Redirect transaction on ATC shall be accounted for in the amount and over time of the Redirect simultaneously.

The impact of the Redirect transaction on the reservation(s) identified by RELATED\_REF shall be posted and viewable using the ***reduction***  template.

OASIS or Transmission Provider procedures shall also apply any outstanding conditions that may exist from the Parent CCO Reservation to the Redirect reservation, if applicable, (e.g., Number-of-Hours Criteria or System-Conditions Criteria) in accordance with Business Practice Standard WEQ-001-21.5.2.2.1 and WEQ-013-2.6.1.4 and any outstanding conditions shall be viewable using the ***cco*** template.

If the Redirect is eligible for the conveyance of rollover/renewal rights, these rights shall be communicated through the ***rollover*** template Data Elements RENEWAL\_DUE\_TIME, ROLLOVER\_ELIGIBLE, ROLLOVER\_START\_TIME, ROLLOVER\_STOP\_TIME, and ROLLOVER\_CAPACITY. Conveyance of rollover rights to the Redirect request/reservation may have an impact on those rights held on the RELATED\_REF reservation. These impacts on the RELATED\_REF reservation shall be documented through an update to the ***rollover*** template Data Elements ROLLOVER\_ELIGIBLE, ROLLOVER\_START\_TIME, ROLLOVER\_STOP\_TIME, and ROLLOVER\_CAPACITY associated with the RELATED\_REF.

If the Transmission Customer does not wish a firm request to Redirect on a firm basis to be evaluated for conveyance of rollover rights, the Transmission Customer must submit the request with the ROLLOVER\_WAIVED Data Element set to ‘Y’ in the ***transrequest***  template.

If the Transmission Customer wishes this request to be a Coordinated Request associated with a Coordinated Group, the Transmission Customer must submit the request with the CG\_STATUS data element set to “PROPOSED” in the ***transrequest*** template. Additional requirements on handling Coordinated Requests are specified in WEQ-013-2.6.9.

**013-2.6.9 Coordinated Requests**

Coordinated Requests are afforded special treatment with respect to Transmission Provider review, evaluation, and final action, as specified in Business Practice Standard WEQ-001-xx. The STATUS data element values CR\_ACCEPTED and CR\_COUNTEROFFER are defined in part to highlight these differences. The following describes the actions that OASIS implementations must support for the treatment of Coordinated Requests.

First, the Transmission Customer must submit each transmission request to be considered as a Coordinated Request using the ***transrequest*** template with the CG\_STATUS data element set to the value of PROPOSED. On successful submission, OASIS shall set the CG\_DEADLINE data element to QUEUE\_TIME plus 24 hours which may be viewed using the ***transstatus*** template. This deadline timestamp reflects the time the Transmission Customer has to submit all transmission requests for consideration to the various Transmission Providers that service is to be coordinated on, and to identify those requests as a Coordinated Group associated with each individual Coordinated Request. All Coordinated Requests must be submitted with PRECONFIRMED set to YES.

Unless available to the Transmission Customer upon initial submission, the identity of each Coordinated Request that comprises the Coordinated Group is submitted by the Transmission Customer via the ***cgupdate*** template. One or more Coordinated Requests may be specified in the ***cgupdate*** template submission using continuation records. Multiple submissions of the ***cgupdate*** template may be made on OASIS to successively add additional Coordinated Requests to the Coordinated Group. Up to the CG\_DEADLINE timestamp, or when the Transmission Customer sets the Coordinated Request CG\_STATUS to ATTESTED, the Transmission Customer may add, modify, or delete Coordinated Requests from the Coordinated Group. For a given Coordinated Request on a given Transmission Provider, as identified by the OASIS assigned ASSIGNMENT\_REF data element, the Coordinated Group consists of all other Coordinated Requests submitted to the same or other Transmission Provider’s within the 24 hour submission deadline. That is, the Coordinated Group associated with a given Coordinated Request will not include that Coordinated Request; submission of a record to the Transmission Provider where the ASSIGNMENT\_REF refers to the same request as the CR\_PRIMARY\_PROVIDER\_CODE and CR\_ASSIGNMENT\_REF data elements will be returned as an error.

The identification of the Coordinated Requests that comprise the Coordinated Group are added to the group specifying the request’s CR\_DISPOSITION with a value of PENDING. A request that has already been added to the Coordinated Group by mistake or that is withdrawn from consideration as a Coordinated Request prior to the CG\_DEADLINE may be deleted from the group by submitting the ***cgupdate*** template with the CR\_PRIMARY\_PROVIDER\_CODE and CR\_ASSIGNMENT\_REF set to refer to the Coordinated Request to be deleted and specifying CR\_DISPOSITION with the value of DELETED.

To meet the contiguity requirements for a Coordinated Group, existing reservations may be associated with the Coordinated Group by specifying the CR\_DISPOSITION of CONFIRMED on submission of the reservation’s identification via the ***cgupdate*** template.

Once all Coordinated Requests are submitted to their respective Transmission Provider’s OASIS nodes, and each Coordinated Request has their associated Coordinated Group information set in OASIS, the Transmission Customer must set each Coordinated Request’s CG\_STATUS to ATTESTED using the ***transcust*** template. This action must be performed prior to expiration of the CG\_DEADLINE, and indicates that the Transmission Customer has attested that the Coordinated Group meets the contiguity requirements specified in Business Practice Standard WEQ-001-xx.2.1.

As each Coordinated Request is acted on by each respective Transmission Provider, the Transmission Customer must update the disposition of each of the Coordinated Requests in the Coordinated Group to reflect both the disposition of that Coordinated Request (CR\_DISPOSITION) and the time at which that disposition was posted on OASIS (CR\_DISPOSITION\_TIME). The CR\_DISPOSITION data element must be set to one of the following enumerated values based on the action taken by each Transmission Provider:

* WITHDRAWN – if the Coordinated Request was withdrawn prior to the Transmission Provider taking final action on the request (long-term PTP and Network requests only).
* FULL – if the Coordinated Request was granted at the full requested capacity, i.e., STATUS set to CR\_ACCEPTED.
* PARTIAL – if the Coordinated Request was granted at less than the full requested capacity, i.e., STATUS set to CR\_COUNTEROFFER.
* NONE – if the Coordinated Request was set to any other final state.

When a Coordinated Request has been set to CR\_ACCEPTED or CR\_COUNTEROFFER and all the other Coordinated Requests in the associated Coordinated Group have their CR\_DISPOSITION set to a value other than PENDING, the Coordinated Request confirmation time limit must be computed from latest date time in the TSR’s TIME\_OF\_LAST\_UPDATE or CR\_DISPOSITION\_TIME data elements. The confirmation time limit will be added by the Transmission Customer to the current OASIS time, and OASIS will automatically set to the value of the RESPONSE\_TIME\_LIMIT. If the Transmission Customer fails to act on the Coordinated Request prior to reaching the RESPONSE\_TIME\_LIMIT, the Coordinated Request will be set to a STATUS of CONFIRMED if it was CR\_ACCEPTED or RETRACTED if it was CR\_COUNTEROFFER.

Prior to RESPONSE\_TIME\_LIMIT, the Transmission Customer may negotiate the final capacity value if any one of the Coordinated Requests was set to a CR\_DISPOSITION of NONE or PARTIAL.

**013-4.1.5 File Examples of the Use of Continuation Records**

**a. Basic Continuation Records**

The first exampleof the use of Continuation Records is for the ***transrequest***template submitted by a Transmission Customer, “MOP” for purchase of a Transmission Service reservation spanning 16 hours from 06:00 to 22:00 with "ramped" demand at beginning and end of time period. Two additional reservation requests are also submitted in this request prior to and following the profile to demonstrate the handling of ASSIGNMENT\_REF by the OASIS Node. The last request is for a purchase from a Reseller, “EFG”.

The OASIS S&CP identifies which Data Elements may appear in continuation records. For profiled request of capacity, the Data Elements START\_TIME, STOP\_TIME, CAPACITY\_REQUESTED, and BID\_PRICE are repeated in continuation records to define each segment of the profiled requests. Specification of any values corresponding to COLUMN\_HEADERs that are not specified as being allowed in continuation records will be ignored, however commas must be included to properly align the Data Elements associated with each continuation record.

**Input:**

VERSION=1.5↵

TEMPLATE=transrequest↵

OUTPUT\_FORMAT=DATA↵

PRIMARY\_PROVIDER\_CODE=AAA↵

PRIMARY\_PROVIDER\_DUNS=123456789↵

RETURN\_TZ=ES↵

DATA\_ROWS=7↵

COLUMN\_HEADERS=CONTINUATION\_FLAG, SELLER\_CODE, SELLER\_DUNS, PATH\_NAME, POINT\_OF\_RECEIPT, POINT\_OF\_DELIVERY, SOURCE, SINK,CAPACITY\_REQUESTED, SERVICE\_INCREMENT, TS\_CLASS, TS\_TYPE, TS\_PERIOD, TS\_WINDOW, TS\_SUBCLASS, STATUS\_NOTIFICATION, START\_TIME, STOP\_TIME, BID\_PRICE, PRECONFIRMED, ANC\_SVC\_LINK, POSTING\_REF, SALE\_REF, REQUEST\_REF, DEAL\_REF, CUSTOMER\_COMMENTS,REQUEST\_TYPE,RELATED\_REF, ROLLOVER\_WAIVED, CG\_STATUS↵

N, AAA,123456789, X/AEF/CEF-ECS//, CEF, ECS,,,35, DAILY, FIRM, POINT\_TO\_POINT, OFF\_PEAK, FIXED,, /AAA/incoming?ref=R765, 20070423000000ES, 20070424000000ES,24.5, NO,,,, R765, D123, Standard daily reservation, ORIGINAL,, N, ↵

N, AAA,123456789,, AEF, MPO,,,5, HOURLY, NON-FIRM, POINT\_TO\_POINT, FULL\_PERIOD, FIXED,, /AAA/incoming?ref=R766, 20070423060000ES, 20070423070000ES,2.5, YES,,,, R766, D123, First piece of profile spanning 5 records, ORIGINAL,, N, ↵

Y,,,,,,,,10,,,,,,,, 20070423070000ES, 20070423080000ES,2.5,,,,,,,,,,,↵

Y,,,,,,,,15,,,,,,,, 20070423080000ES, 20070423200000ES,2.5,,,,,,,,,,,↵

Y,,,,,,,,10,,,,,,,, 20070423200000ES, 20070423210000ES,2.5,,,,,,,,,,,↵

Y,,,,,,,,5,,,,,,,, 20070423210000ES, 20070423220000ES,2.5,,,,,,,,,,, ↵

N, EFG,678912345, X/AEF/CEF-ECS//, CEF, ECS,,,20,DAILY, FIRM, POINT\_TO\_POINT,FULL\_PERIOD, FIXED,, /AAA/incoming?ref=R767, 20070423040000ES, 20070423160000ES,2, YES,,,, R767, D123, Resale hourly reservation after profiled reservation, RESALE,, N,↵

**Response:**

REQUEST\_STATUS=200↵

ERROR\_MESSAGE=Success ↵

TIME\_STAMP=20070422160523ES ↵

VERSION=1.5↵

TEMPLATE=transrequest↵

OUTPUT\_FORMAT=DATA↵

PRIMARY\_PROVIDER\_CODE=AAA↵

PRIMARY\_PROVIDER\_DUNS=123456789↵

RETURN\_TZ=ES↵

DATA\_ROWS=7↵

COLUMN\_HEADERS=RECORD\_STATUS, CONTINUATION\_FLAG, ASSIGNMENT\_REF, SELLER\_CODE, SELLER\_DUNS, PATH\_NAME, POINT\_OF\_RECEIPT, POINT\_OF\_DELIVERY, SOURCE, SINK, CAPACITY\_REQUESTED, SERVICE\_INCREMENT, TS\_CLASS, TS\_TYPE, TS\_PERIOD, TS\_WINDOW, TS\_SUBCLASS, STATUS\_NOTIFICATION, START\_TIME, STOP\_TIME, BID\_PRICE, PRECONFIRMED, ANC\_SVC\_LINK, POSTING\_REF, SALE\_REF, REQUEST\_REF, DEAL\_REF, CUSTOMER\_COMMENTS, REQUEST\_TYPE, RELATED\_REF, ROLLOVER\_WAIVED, CG\_STATUS, ERROR\_MESSAGE↵

200,N,23879032, AAA,123456789, X/AEF/CEF-ECS//, CEF, ECS,,,35, DAILY, FIRM, POINT\_TO\_POINT, OFF\_PEAK, FIXED,, /AAA/incoming?ref=R765, 20070423000000ES, 20070424000000ES,24.5, NO,,,, R765, D123, Standard daily reservation, ORIGINAL,, N,, No Error↵

200,N,23879037, AAA,123456789,, AEF, MPO,,,5, HOURLY, NON-FIRM, POINT\_TO\_POINT, FULL\_PERIOD, FIXED,, /AAA/incoming?ref=R766, 20070423060000ES, 20070423070000ES,2.5, YES,,,, R766, D123, First piece of profile spanning 5 records, ORIGINAL,, N,, No Error↵

200,Y,23879037,,,,,,,,10,,,,,,,, 20070423070000ES, 20070423080000ES,2.5,,,,,,,,,,,, No Error↵

200,Y,23879037,,,,,,,,15,,,,,,,, 20070423080000ES, 20070423200000ES,2.5,,,,,,,,,,,, No Error↵

200,Y,23879037,,,,,,,,10,,,,,,,, 20070423200000ES, 20070423210000ES,2.5,,,,,,,,,,,, No Error↵

200,Y,23879037,,,,,,,,5,,,,,,,, 20070423210000ES, 20070423220000ES,2.5,,,,,,,,,,,, No Error↵

200,N,23879040, EFG,678912345, X/AEF/CEF-ECS//, CEF, ECS,,,20,DAILY, FIRM, POINT\_TO\_POINT,FULL\_PERIOD, FIXED,, /AAA/incoming?ref=R767, 20070423040000ES, 20070423160000ES,2, YES,,,, R767, D123, Resale hourly reservation after profiled reservation, RESALE,, N,, No Error↵

**d. Query of Transmission Service Reservation Status:**

The following is a hypothetical response to a ***transstatus***query that might be delivered for reservations starting on April 23, 2007.

**Input:**

<appropriate query name/value pairs to return reservations for April 23, 2007>

**Response:**

REQUEST\_STATUS=200↵

ERROR\_MESSAGE=No error. ↵

TIME\_STAMP=20000423160523ES↵

VERSION=1.5↵

TEMPLATE=transstatus↵

OUTPUT\_FORMAT=DATA↵

PRIMARY\_PROVIDER\_CODE=AAA↵

PRIMARY\_PROVIDER\_DUNS=123456789↵

RETURN\_TZ=ES↵

DATA\_ROWS=10↵

COLUMN\_HEADERS=CONTINUATION\_FLAG, ASSIGNMENT\_REF, SELLER\_CODE, SELLER\_DUNS, CUSTOMER\_CODE, CUSTOMER\_DUNS, AFFILIATE\_FLAG, PATH\_NAME, POINT\_OF\_RECEIPT, POINT\_OF\_DELIVERY, SOURCE, SINK,CAPACITY\_REQUESTED,CAPACITY\_GRANTED, SERVICE\_INCREMENT, TS\_CLASS, TS\_TYPE, TS\_PERIOD,TS\_WINDOW, TS\_SUBCLASS, NERC\_CURTAILMENT\_PRIORITY, OTHER\_CURTAILMENT\_PRIORITY, START\_TIME, STOP\_TIME, CEILING\_PRICE, OFFER\_PRICE, BID\_PRICE, PRICE\_UNITS, PRECONFIRMED, ANC\_SVC\_LINK, ANC\_SVC\_REQ, POSTING\_REF, SALE\_REF, REQUEST\_REF, DEAL\_REF, IMPACTED, COMPETING\_REQUEST\_FLAG, REQUEST\_TYPE, RELATED\_REF, NEGOTIATED\_PRICE\_FLAG, STATUS, STATUS\_NOTIFICATION, STATUS\_COMMENTS, TIME\_QUEUED, RESPONSE\_TIME\_LIMIT, TIME\_OF\_LAST\_UPDATE, PRIMARY\_PROVIDER\_COMMENTS, SELLER\_REF, SELLER\_COMMENTS, CUSTOMER\_COMMENTS, SELLER\_NAME, SELLER\_PHONE, SELLER\_FAX, SELLER\_EMAIL, CUSTOMER\_NAME, CUSTOMER\_PHONE, CUSTOMER\_FAX, CUSTOMER\_EMAIL, REASSIGNED\_REF, REASSIGNED\_CAPACITY, REASSIGNED\_START\_TIME , REASSIGNED\_STOP\_TIME, PRIMARY\_PROVIDER\_APPROVAL, PRIMARY\_PROVIDER\_PROVISIONS, ROLLOVER\_WAIVED, CG\_STATUS, CG\_DEADLINE ↵

N,8207, RSELLR,234567890, ACSTMR,987654321, N, , CE, VP, ,,10,10, HOURLY, FIRM, POINT\_TO\_POINT, OFF\_PEAK, FIXED,,7,, 20070423000000ES, 20070423060000ES,,2,2, $/MW-Hour, NO, SC:(AEP:AR:121);RV:(AEP:AR:122);RF:(FT);EI:(FT);SP:(FT);SU:(FT);, SC:M;RV:M;RF:U;EI:U;SP:U;SU:U;, , S1235, , ,0,, RESALE,, L, CONFIRMED,, , 20070422121354ES,, 20070422123054ES, Transmission Provider Comments go here,, Seller comments go here, Transmission Customer comments go here, Joe Smith, (777)-312-7456, (777)-312-7450, jsmith@xyz.com, John Dealer, (534)-223-4567,,,7019,10, 20070423000000ES, 20070423060000ES,,, N,, ↵

Y,8207,,,,,,,,,,,10,10,,,,,,,,, 20070423220070ES, 20070424000000ES,,2,2,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,7019,10, 20070423220070ES, 20070424000000ES,,, N,, ↵

N,8234, AAA,123456789, CUSTMR,345678912, N, , CE, MECS, ,,35,35, DAILY, FIRM, POINT\_TO\_POINT, FULL\_PERIOD, FIXED,,7,, 20070423000000ES, 20070424000000ES,42,24.5,24.5, $/MW-Day, NO, SC:(AEP:AR:123);RV:(AEP:AR:124);RF:(FT);EI:(FT);SP:(FT);SU:(FT);, SC:M;RV:M;RF:U;EI:U;SP:U;SU:U;, P0123 , S123, R765, D123,0,, ORIGINAL,, L, CONFIRMED, pub/AAA/incoming,, 20070422131354ES, 20070422173354ES, 20070422133354ES, Standard daily reservation,, System Operator, Transmission Customer comments go here, Frank Orth, (999)-123-4567, (888)-123-1231, jsmith@xyz.com, Jane Doe, (999)-123-4567, (999)-123-8823,,,,,,,, N,, ↵

N,8235, AAA,123456789, CUSTMR,345678912, N, , CE, AMPO, ,,5,5, HOURLY, NON-FIRM, POINT\_TO\_POINT, FULL\_PERIOD, FIXED,,2,, 20070423060000ES, 20070423070000ES,2,2,2, $/MW-Hour, NO, SC:(AEP:AR:125);RV:(AEP:AR:126);RF:(FT);EI:(FT);SP:(FT);SU:(FT);, SC:M;RV:M;RF:U;EI:U;SP:U;SU:U;, P0123 , S123, R765, D123,0,, ORIGINAL,,, CONFIRMED, pub/AAA/incoming,, 20070422160523ES, 20070422171203ES, 20070422170523ES, Profile verified,, First piece, Transmission Customer comments go here, System Operator, (888)-123-4567, (888)-123-1231, jsmith@xyz.com, Jane Doe, (999)-123-4567, (999)-123-8823,,,,,,,, N,, ↵

Y,8235,,,,,,,,,,,10,10,,,,,,,,, 20070423070000ES, 20070423080000ES,2.5,2.5,2.5,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,, ↵

Y,8235,,,,,,,,,,,15,15,,,,,,,,, 20070423080000ES, 20070423200700ES,2.5,2.5,2.5,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,, ↵

Y,8235,,,,,,,,,,,10,10,,,,,,,,, 20070423200700ES, 20070423210000ES,2.5,2.5,2.5,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,, ↵

Y,8235,,,,,,,,,,,5,5,,,,,,,,, 20070423210000ES, 20070423220070ES,2.5,2.5,2.5,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,, ↵

N,8242, AAA,123456789, CUSTMR,345678912, N, , CE, VP, ,,20,20,WEEKLY, NON-FIRM, POINT\_TO\_POINT, FULL\_PERIOD, FIXED,,4,, 20070423000000ES, 20070430000000ES,123,123,123,$/MW-Week, NO, SC:(AEP:AR:127);RV:(AEP:AR:128);RF:(FT);EI:(FT);SP:(FT);SU:(FT);, SC:M;RV:M;RF:U;EI:U;SP:U;SU:U;, P0123 , S123, R765, D123,0,, ORIGINAL,,, CONFIRMED, pub/AAA/incoming,, 20070422160723ES, 20070422223024ES, 20070422171523ES, Bid price refused,, Negotiated OFFER\_PRICE accepted,, Joe Smith, (888)-123-4567, (888)-123-1231, jsmith@xyz.com, Jane Doe, (999)-123-4567, (999)-123-8823,,,,,,,, N,, ↵

Y,8242,,,,,,,,,,,18,18,,,,,,,,, 20070430000000ES, 20070507000000ES,123,123,123,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,, N,, ↵

**013-4.1.6 Examples of Negotiation of Price and Partial Service Offer**

**013-4.1.6.1** Negotiation with Preconfirmation

a. The Transmission Customer submits a preconfirmed Transmission Service request using the ***transrequest***template. Initially, the STATUS is set to QUEUED by the OASIS Node.

b. The Seller has the option of setting STATUS via the ***transsell***template to one of the following: INVALID, RECEIVED, STUDY, COUNTEROFFER, CR\_COUNTEROFFER, ACCEPTED, CR\_ACCEPTED, DECLINED, or REFUSED.

c. The Seller has the option of entering a CAPACITY\_GRANTED and setting the STATUS to COUNTEROFFER or CR\_COUNTEROFFER via the ***transell*** template if the Seller can only provide Partial Service.

d. If the Seller sets STATUS to ACCEPTED or CR\_ACCEPTED (and, as required by Business Practice Standard WEQ-013-2.2, the OASIS Node forces the Seller to set OFFER\_PRICE equal to BID\_PRICE as a condition to setting STATUS to ACCEPTED or CR\_ACCEPTED) and CAPACITY\_GRANTED is equal to CAPACITY\_REQUESTED, the OASIS Node will immediately set STATUS to CONFIRMED, except where the STATUS is CR\_ACCEPTED the OASIS Node shall wait to set the transaction’s STATUS to CONFIRMED. (Business Practice Standard WEQ-013-2.2 requires the OASIS Node to set a null CAPACITY\_GRANTED equal to CAPACITY\_REQUESTED when STATUS is set to ACCEPTED or CR\_ACCEPTED.)

e. The Transmission Customer may withdraw request via ***transcust***template at any time up to point where the Seller sets STATUS to ACCEPTED or CR\_ACCEPTED.

f. Once the STATUS is CONFIRMED, the OFFER\_PRICE and CAPACITY\_GRANTED officially becomes the terms of the reservation.

**013-4.1.6.2** Negotiations without Preconfirmation

a. The Transmission Customer submits a Transmission Service reservation request with the BID\_PRICE less than the CEILING\_PRICE via the ***transrequest***template. Initially the STATUS is set to QUEUED by the OASIS Node.

b. The Seller has the option of setting the STATUS via the ***transsell***template to one of the following: INVALID, RECEIVED, STUDY, ACCEPTED, DECLINED, COUNTEROFFER, or REFUSED. If the STATUS is set to INVALID (due to invalid entries in the request), DECLINED (due to the Seller determining that the proposed price is not acceptable and further negotiations are not desired), or REFUSED (due to the unavailability of the requested service), the Transmission Service reservation request is terminated.

c. The Seller has the option of entering a CAPACITY\_GRANTED and setting the STATUS to COUNTEROFFER via the ***transell***template if the Seller can only provide Partial Service.

d. If the Seller set the STATUS to RECEIVED or STUDY, and determines that the BID\_PRICE is too low, the Seller sets the OFFER\_PRICE to the price desired, and sets the STATUS to COUNTEROFFER via the ***transsell***template.

e. The Transmission Customer agrees to the OFFER\_PRICE, sets the BID\_PRICE equal to the OFFER\_PRICE, and sets the STATUS to CONFIRMED via the ***transcust***template.

f. The OFFER\_PRICE and CAPACITY\_GRANTED with the STATUS of CONFIRMED locks in the terms of the reservation.

**013-4.1.6.3** Multiple Step Negotiations

a. The Transmission Customer submits a Transmission Service reservation request with the BID\_PRICE less than the CEILING\_PRICE via the ***transrequest***template. Initially the STATUS is set to QUEUED by the OASIS Node.

b. The Seller has the option of setting the STATUS via the ***transsell***template to one of the following: INVALID, RECEIVED, STUDY, ACCEPTED, CR\_ACCEPTED, DECLINED, COUNTEROFFER, CR\_COUNTEROFFER, or REFUSED. If the STATUS is set to INVALID, DECLINED, or REFUSED, the Transmission Service reservation request is terminated.

c. The Seller has the option of entering a CAPACITY\_GRANTED and setting the STATUS to COUNTEROFFER or CR\_COUNTEROFFER via the ***transell***template if the Seller can only provide Partial Service. If ATC changes before the request reaches the STATUS of CONFIRMED, Seller may change the CAPACITY\_GRANTED.

d. The Seller determines that the BID\_PRICE is too low, sets the OFFER\_PRICE to the desired value, and sets the STATUS to COUNTEROFFER or CR\_COUNTEROFFER via the ***transsell***template.

e. The Transmission Customer responds to the new OFFER\_PRICE with an updated BID\_PRICE and sets the STATUS to REBID for re-evaluation by the Seller.

f. The Seller determines that the BID\_PRICE now is acceptable, and sets the STATUS to ACCEPTED or CR\_ACCEPTED via the ***transsell***template. The transition to ACCEPTED or CR\_ACCEPTED state requires the OFFER\_PRICE to be set to the BID\_PRICE: accepting a reservation with an OFFER\_PRICE different from BID\_PRICE would require the STATUS be set to COUNTEROFFER or CR\_COUNTEROFFER rather than ACCEPTED or CR\_ACCEPTED (see Business Practice Standard WEQ-013-4.1.6.3.c).

g. The Transmission Customer agrees to the OFFER\_PRICE and sets the STATUS to CONFIRM via the ***transcust***template.

h. The OFFER\_PRICE and CAPACITY\_GRANTED with the STATUS as CONFIRMED locks in the terms of the reservation.

**013-4.1.6.4** Negotiations Declined by Seller

a. The Transmission Customer submits a Transmission Service reservation request with the BID\_PRICE less than the CEILING\_PRICE via the ***transrequest***template. Initially the STATUS is set to QUEUED by the OASIS Node.

b. The Seller has the option of setting the STATUS via the ***transsell***template to one of the following: INVALID, RECEIVED, STUDY, ACCEPTED, CR\_ACCEPTED, DECLINED, COUNTEROFFER, CR\_COUNTEROFFER, or REFUSED. If the STATUS is set to INVALID, DECLINED, or REFUSED, the Transmission Service reservation request is terminated.

c. The Seller determines that the BID\_PRICE is too low, sets OFFER\_PRICE to his desired value, and sets STATUS to COUNTEROFFER or CR\_COUNTEROFFER via the ***transsell***template.

d. The Transmission Customer responds to OFFER\_PRICE with updated BID\_PRICE and sets the STATUS to REBID via the ***transcust***template for re-evaluation by Seller.

e. The Seller breaks off all further negotiations by setting the STATUS to DECLINED, indicating that the price is unacceptable and that he does not wish to continue negotiations.

**013-4.1.6.5** Negotiations Withdrawn by Transmission Customer

a. The Transmission Customer submits a Transmission Service reservation request with the BID\_PRICE less than the CEILING\_PRICE via the ***transrequest***. Initially the STATUS is set to QUEUED by the OASIS Node.

b. The Seller has the option of setting the STATUS via the ***transsell***template to one of the following: INVALID, RECEIVED, STUDY, ACCEPTED, CR\_ACCEPTED, DECLINED, COUNTEROFFER, CR\_COUNTEROFFER, or REFUSED. If the STATUS is set to INVALID, DECLINED, or REFUSED, the Transmission Service reservation request is terminated.

c. The Seller has the option of entering a CAPACITY\_GRANTED and setting the STATUS to COUNTEROFFER or CR\_COUNTEROFFER via the ***transell***template if the Seller can only provide Partial Service.

d. The Seller determines that the BID\_PRICE is too low, sets the OFFER\_PRICE to his desired value, and sets the STATUS to COUNTEROFFER or CR\_COUNTEROFFER via the ***transsell***template.

e. The Transmission Customer responds to the OFFER\_PRICE with an updated BID\_PRICE and sets the STATUS to REBID for re-evaluation by Seller.

f. The Seller determines that the BID\_PRICE is still too low, sets the OFFER\_PRICE to another value, and sets STATUS to COUNTEROFFER or CR\_COUNTEROFFER via the ***transsell***template.

g. The Transmission Customer breaks off all further negotiations, either because the OFFER\_PRICE or CAPACITY\_GRANTED are unacceptable, by setting STATUS to WITHDRAWN (or the Transmission Customer/Seller could go through additional iterations of REBID/COUNTEROFFER/CR\_COUNTEROFFER until negotiations are broken off or the reservation is confirmed).

**013-4.1.6.6** Negotiations Superseded by Higher Priority Reservation

a. The Transmission Customer submits a Transmission Service reservation request with the BID\_PRICE less than the CEILING\_PRICE via the ***transreques****t* template. Initially the STATUS is set to QUEUED by the OASIS Node.

b. The Seller has the option of setting the STATUS via the ***transsell***template to one of the following: INVALID, RECEIVED, STUDY, ACCEPTED, CR\_ACCEPTED, DECLINED, COUNTEROFFER, CR\_COUNTEROFFER, or REFUSED. If the STATUS is set to INVALID, DECLINED, or REFUSED, the Transmission Service reservation request is terminated.

c. If the Seller determines that another reservation has higher priority and must displace this request, he sets the STATUS of this request to SUPERSEDED and the negotiations are terminated.

d. However, if desired and permitted by the tariff, the Seller may set the STATUS of a request in any of these previous states (including COUNTEROFFER, CR\_COUNTEROFFER, ~~and~~ ACCEPTED, and CR\_ACCEPTED) to COUNTEROFFER or CR\_COUNTEROFFER with an OFFER\_PRICE which could avoid the request being superseded, thus allowing the Transmission Customer the choice of being SUPERSEDED or accepting the proposed OFFER\_PRICE.

**013-4.1.7.2** Reservations

The following is an example of a hypothetical audit query for a specific Transmission Service reservation (line breaks and indentations added to improve readability):

**Response:**

REQUEST\_STATUS=200↵

ERROR\_MESSAGE=↵

TIME\_STAMP=19980821092048ES↵

VERSION=1.5↵

TEMPLATE=transstatusaudit↵

OUTPUT\_FORMAT=DATA↵

PRIMARY\_PROVIDER\_CODE=WXYZ↵

PRIMARY\_PROVIDER\_DUNS=78912345↵

RETURN\_TZ=ES↵

DATA\_ROWS=15↵

COLUMN\_HEADERS=RECORD\_TYPE, TIME\_OF\_UPDATE, MODIFYING\_COMPANY\_CODE, MODIFYING\_NAME, CONTINUATION\_FLAG, ASSIGNMENT\_REF, SELLER\_CODE, SELLER\_DUNS, CUSTOMER\_CODE, CUSTOMER\_DUNS, AFFILIATE\_FLAG, PATH\_NAME, POINT\_OF\_RECEIPT, POINT\_OF\_DELIVERY, SOURCE, SINK, CAPACITY\_REQUESTED, CAPACITY\_GRANTED, SERVICE\_INCREMENT, TS\_CLASS, TS\_TYPE, TS\_PERIOD, TS\_WINDOW, TS\_SUBCLASS, NERC\_CURTAILMENT\_PRIORITY, OTHER\_CURTAILMENT\_PRIORITY, START\_TIME, STOP\_TIME, CEILING\_PRICE, OFFER\_PRICE, BID\_PRICE, PRICE\_UNITS, PRECONFIRMED, ANC\_SVC\_LINK, ANC \_SVC\_REQ, POSTING\_REF, SALE\_REF, REQUEST\_REF, DEAL\_REF, IMPACTED, COMPETING\_REQUEST\_FLAG, REQUEST\_TYPE, RELATED\_REF, NEGOTIATED\_PRICE\_FLAG, STATUS, STATUS\_NOTIFICATION, STATUS\_COMMENTS, TIME\_QUEUED, RESPONSE\_TIME\_LIMIT, TIME\_OF\_LAST\_UPDATE, PRIMARY\_PROVIDER\_COMMENTS, SELLER\_REF, SELLER\_COMMENTS, CUSTOMER\_COMMENTS, SELLER\_NAME, SELLER\_PHONE, SELLER\_FAX, SELLER\_EMAIL, CUSTOMER\_NAME, CUSTOMER\_PHONE, CUSTOMER\_FAX, CUSTOMER\_EMAIL, REASSIGNED\_REF, REASSIGNED\_CAPACITY, REASSIGNED\_START\_TIME, REASSIGNED\_STOP\_TIME, PRIMARY\_PROVIDER\_APPROVAL,

PRIMARY\_PROVIDER\_PROVISIONS, ROLLOVER\_WAIVED, CG\_STATUS, CG\_DEADLINE ↵

U, 20070815131629ES, DEFPM, Alan Trader, N,104392, WXYZ,78912345, DEFPM,912876543, N, X/WXYZ/AAA-DDD//, AAA , DDD, AAA, ZZZ,50,50, DAILY, NON-FIRM, POINT\_TO\_POINT, FULL\_PERIOD, FIXED, ,3, , 20070817000000ES, 20070818000000ES,102,85,85, $/MW-Day, N, , SC:M;RF:M, , , , ,,, ORIGINAL,, L, CONFIRMED, , , 20070815121510ES, 20070815144100ES, 20070815131629ES, ,, , , Jane Doe, 123-456-7813, 123-456-7801, doej@wxyz.com, Alan Trader, 312-678-9104, 312-678-9100, a .trader@defmarketing.com, , , , ,,, N,, ↵

U,,,, Y,104392,,,,,,,,,,,75,75,,,,,,,,, 20070818000000ES, 20070819000000ES,,85,85,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,, ↵

U,,,, Y,104392,,,,,,,,,,,100,100,,,,,,,,, 20070819000000ES, 20070820000000ES,,85,85,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,, ↵

U, 20070815125042ES, WXYZ, Jane Doe, N,104392, WXYZ,78912345, DEFPM,912876543, N, X/WXYZ/AAA-DDD//, AAA , DDD, AAA, ZZZ,50,50, DAILY, NON-FIRM, POINT\_TO\_POINT, FULL\_PERIOD, FIXED, ,3, , 20070817000000ES, 20070818000000ES,102,85,82, $/MW-Day, N, , SC:M;RF:M, , , , ,,, ORIGINAL,, L, COUNTEROFFER, , , 20070815121510ES, 20070815144100 ES, 20070815125042ES, ,, , , Jane Doe, 123-456-7813, 123-456-7801, doej@wxyz.com, Alan Trader, 312-678-9104, 312-678-910 0, a.trader@defmarketing.com, , , , ,,, N,, ↵

U,,,, Y,104392,,,,,,,,,,,75,75,,,,,,,,, 20070818000000ES, 20070819000000ES,,85,82,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,, ↵

U,,,, Y,104392,,,,,,,,,,,100,100,,,,,,,,, 20070819000000ES, 20070820000000ES,,85,82,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,, ↵

U, 20070815124811ES, DEFPM, Alan Trader, N,104392, WXYZ,78912345, DEFPM,912876543, N, X/WXYZ/AAA-DDD//, AAA , DDD, AAA, ZZZ,50,50, DAILY, NON-FIRM, POINT\_TO\_POINT, FULL\_PERIOD, FIXED, ,3, , 20070817000000ES, 20070818000000ES,102,90,82, $/MW-Day, N, , SC:M;RF:M, , , , ,,, ORIGINAL,, , REBID, , , 20070815121510ES, 20070815144100ES, 20070 815124811ES, ,, , , Jane Doe, 123-456-7813, 123-456-7801, doej@wxyz.com, Alan Trader, 312-678-9104, 312-678-9100, a.trader @defmarketing.com,,,,,,, N,, ↵

U,,,, Y,104392,,,,,,,,,,,75,75,,,,,,,,, 20070818000000ES, 20070819000000ES,,90,82,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,, ↵

U,,,, Y,104392,,,,,,,,,,,100,100,,,,,,,,, 20070819000000ES, 20070820000000ES,,90,82,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,, ↵

U, 20070815124100ES, WXYZ, Jane Doe, N,104392, WXYZ,78912345, DEFPM,912876543, N, X/WXYZ/AAA-DDD//, AAA , DDD, AAA, ZZZ,50,50, DAILY, NON-FIRM, POINT\_TO\_POINT, FULL\_PERIOD, FIXED, ,3, , 20070817000000ES, 20070818000000ES,102,90,80, $/MW-Day, N, , SC:M;RF:M, , , , ,,, ORIGINAL,, , COUNTEROFFER, , , 20070815121510ES, 20070815144100 ES, 20070815124100ES, ,, , , Jane Doe, 123-456-7813, 123-456-7801, doej@wxyz.com, Alan Trader, 312-678-9104, 312-678-910 0, a.trader@defmarketing.com,,,,,,, N,, ↵

U,,,, Y,104392,,,,,,,,,,,75,75,,,,,,,,, 20070818000000ES, 20070819000000ES,,90,80,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,, ↵

U,,,, Y,104392,,,,,,,,,,,100,100,,,,,,,,, 20070819000000ES, 20070820000000ES,,90,80,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,, ↵

I, 20070815121510ES, DEFPM, Alan Trader, N,104392, WXYZ,78912345, DEFPM,912876543, N, X/WXYZ/AAA-DDD//, AAA , DDD, AAA, ZZZ,50,, DAILY, NON-FIRM, POINT\_TO\_POINT, FULL\_PERIOD, FIXED, ,3, , 20070817000000ES, 20070818000000ES,102,,80, $/MW-Day, N, , SC:M;RF:M, , , , ,,, ORIGINAL,, , QUEUED, , , 20070815121510ES, , 20070815121510ES, ,, , , Company Default, 123-456-7800, 123-456-7801, , Alan Trader, 312-678-9104, 312-678-9100, a.trader@defmarketing.com,,,,,,, N,, ↵

I,,,, Y,104392,,,,,,,,,,,75,,,,,,,,,, 20070818000000ES, 20070819000000ES,,,80,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,, ↵

I,,,, Y,104392,,,,,,,,,,,100,,,,,,,,,, 20070819000000ES, 20070820000000ES,,,80,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,, ↵

First, this example shows the handling of continuation records which conveyed a time varying demand of 50 MW on August 1, 2007, 75 MW on August 18, 2007, and 100 MW on August 19, 2007. This demand profile was initially entered with the original reservation request (***transrequest*** template) at 12:15 on August 15, 2007, by Alan Trader.

As part of the original reservation, Alan Trader attempted to negotiate a price for service of $80./MWday. Jane Doe responded to this request with a counter offer at the non-negotiated rate of $90./mwday at 12:41 on August 15, 2007. The RESPONSE\_TIME\_LIMIT Data Element has been updated to reflect the time by which the Transmission Customer must confirm service

At 12:48, Alan Trader attempted to negotiate further for a rate of $82.00 /MWday and the reservation status was set to REBID. Jane Doe responded at 12:50 with a second counter offer restating a negotiated rate of $85.00/MWday, which Alan Trader finally agreed to at 13:16 on August 15, 2007. The current posted information on OASIS would show this final confirmed reservation.

**4. SUPPORTING DOCUMENTATION**

**a. Description of Request:**

**NORTH AMERICAN ENERGY STANDARDS BOARD**

**2011 ANNUAL PLAN for the WHOLESALE ELECTRIC QUADRANT**

|  |  |
| --- | --- |
| **2** | **Develop business practice standards in support of the FERC RM05-25-000 and RM05-17-000 (OATT Reform)[[1]](#footnote-1)** |
|  | a) | Develop version 2 business practice standards to better coordinate the use of the transmission system among neighboring transmission providers. Status: UnderwayRequest R050004 was expanded to include the [Order No. 890 (Docket Nos.RM05-17-000 and RM02-25-000)](http://www.naesb.org/doc_view4.asp?doc=ferc041107.pdf), ([Order No. 890-A (Docket Nos. RM05-17-001, 002 and RM05-25-001, 002](http://www.naesb.org/doc_view2.asp?doc=ferc122807.pdf)), and Order No. 890-B (Docket Nos. RM05-17-03 and RM05-25-03) “Preventing Undue Discrimination and Preference in Transmission Services”  |
|  |  | iii) | Group 5: Paragraph 1377[[2]](#footnote-2) – Group 5 work should precede group 4 work3 |
|  |  |  | 1. Paragraph 1377-Coordination of Requests Across Multiple Transmission Systems

Status: Underway, Scoping Group work product approved by the WEQ EC and sent to the OASIS Subcommittee. |
|  |  |  | 1. Re-Bid Of Partial Service across Multiple Transmission Providers’ Systems

Status: Underway, Scoping Group work product approved by the WEQ EC and sent to the OASIS Subcommittee |
|  |  |  | 1. Group DNR requests from a system with point-to-point requests on other systems for synchronization

Status: Underway, Scoping Group work product approved by the WEQ EC and sent to the OASIS Subcommittee |

**FERC Order 890**

**Commission Determination**

1. The Commission agrees that transmission requests across multiple transmission systems should be coordinated by the relevant transmission providers. We will not, however, amend the pro forma OATT to require such coordination. Rather, we require transmission providers working through NAESB to develop business practice standards related to coordination of requests across multiple transmission systems. In order to provide guidance to NAESB, we will articulate the principles that should govern processing across multiple systems. All the transmission providers involved in a request across multiple systems should consider a request that requires studies across multiple systems to be a single application for purposes of establishing the deadlines for rendering an agreement for service, revising queue status, eliciting deposits and commencing service. In order to preserve the rights of other transmission customers with studies in the queue, the priority for the single application should be based on the latest priority across the transmission providers involved in the multiple system request. We note that regional entities like wesTTrans are already coordinating requests across multiple transmission systems and we believe such coordination is an acceptable solution to this issue.
2. We interpret Exelon’s request that we require all transmission providers to allow transmission customers to link consecutive requests for firm point-to-point transmission service and to evaluate such requests as a single request as asking us to (1) allow transmission customers to require the transmission provider to either grant service for the entire period, deny service for the entire period, or offer the same partial quantity for the entire period and (2) require the transmission provider to consider the full duration of the linked requests when determining reservation priority pursuant to sections 13.2 of the pro forma OATT (short-term firm point-to-point transmission service). We require transmission providers working through NAESB to develop business practice standards to allow a transmission customer to rebid a counteroffer of partial service so the transmission customer is allowed to take the same quantity of service across all linked transmission service requests. Transmission providers need not implement these business practice standards until NAESB develops appropriate standards. We note that the transmission customer should not be required to take the same quantity of service across consecutive transmission service requests, it should simply have the option to do so. On the second issue, we reiterate that, according to existing NAESB business practice standard 001-4.16, the transmission provider is required to consider the full duration of the linked requests when determining reservation priority pursuant to section 13.2 of the pro forma OATT.

**b. Description of Recommendation:**

See Section 3 Summary

**c. Business Purpose:**

Implementation of FERC Order 890

**d. Commentary/Rationale of Subcommittee(s)/Task Force(s):**

NAESB will need to add appropriate links to this section.

* SAMTS Scope Document
* SAMTS Motions Document
* Meeting Minutes
	+ WEQ Executive Committee Scoping Task Force
		- March 22, 2010
		- March 31, 2010
		- April 26, 2010
		- May 19, 2010
		- June 1, 2010
		- June 14, 2010
		- June 15, 2010
		- June 18, 2010
	+ WEQ Executive Committee
		- August 17, 2010
		- February 1, 2011 (draft)
	+ WEQ OASIS Subcommittee “Provisionally Denied” Task Force
		- November 12, 2010
		- November 18, 2010
		- November 23, 2010
		- December 3, 2010
		- December 3, 2010
		- December 8, 2010
		- December 20, 2010
		- January 6, 2011
		- January 13, 2011
	+ WEQ OASIS Subcommittee
		- January 5, 2011
		- January 13, 2011
		- January 19-21, 2011
		- January 25, 2011
		- February 2-3, 2011
		- February 8-9, 2011
		- February 23, 2011
		- March 1-3, 2011
		- March 11, 2011
		- March 16, 2011
		- March 22, 2011
		- March 28, 2011
		- April 14-15, 2011
		- April 20, 2011 (draft)
		- May 3-5, 2011
1. FERC Order No. 890, issued February 16, 2007 can be accessed from the following link - http://www.naesb.org/doc\_view4.asp?doc=ferc021607.doc [↑](#footnote-ref-1)
2. Paragraph 1377 of FERC Order No. 890, issued February 16, 2007: The Commission agrees that transmission requests across multiple transmission systems should be coordinated by the relevant transmission providers. We will not, however, amend the pro forma OATT to require such coordination. Rather, we require transmission providers working through NAESB to develop business practice standards related to coordination of requests across multiple transmission systems. In order to provide guidance to NAESB, we will articulate the principles that should govern processing across multiple systems. All the transmission providers involved in a request across multiple systems should consider a request that requires studies across multiple systems to be a single application for purposes of establishing the deadlines for rendering an agreement for service, revising queue status, eliciting deposits and commencing service. In order to preserve the rights of other transmission customers with studies in the queue, the priority for the single application should be based on the latest priority across the transmission providers involved in the multiple system request. We note that regional entities like wesTTrans are already coordinating requests across multiple transmission systems and we believe such coordination is an acceptable solution to this issue. [↑](#footnote-ref-2)