**1. RECOMMENDED ACTION: EFFECT OF EC VOTE TO ACCEPT RECOMMENDED ACTION:**

|  |  |  |  |
| --- | --- | --- | --- |
| x | Accept as requested | x | Change to Existing Practice |
|  | Accept as modified below |  | Status Quo |
|  | Decline |  |  |

**2. TYPE OF DEVELOPMENT/MAINTENANCE**

|  |  |
| --- | --- |
| **Per Request:** | **Per Recommendation:** |
|  | Initiation |  | Initiation |
|  | Modification |  | Modification |
|  | Interpretation |  | Interpretation |
|  | Withdrawal |  | Withdrawal |
|  |  |  |  |
|  | Principle |  | Principle |
|  | Definition |  | Definition |
|  | Business Practice Standard |  | Business Practice Standard |
|  | Document |  | Document |
| x | Data Element | x | Data Element |
|  | Code Value |  | Code Value |
|  | X12 Implementation Guide |  | X12 Implementation Guide |
|  | Business Process Documentation |  | Business Process Documentation |

**3. RECOMMENDATION**

**SUMMARY:**

The transfer standards are inconsistent and incomplete in their listing of the request types that have been purchased from the Primary Provider. The key requirement in the standards is that the service was purchased from the Primary Provider. Adding e.g. to the parenthetical in both standards will clarify that the listing of service types within the parenthetical is intended to be an example and not a definitive and limiting listing. Adding DEFERRAL to the request types listed in the parenthetical in 2.6.8.1 corrects the FULL\_TRANSFER standard. Adding RENEWAL, Firm REDIRECT, DEFERRAL and MATCHING to the request types listed in the parenthetical in 2.6.8.2 corrects the PART\_TRANSFER standard.

**Recommended Standards:**

**Revisions to Existing Business Practice Standard WEQ-013**

**(OASIS Implementation Guide)**

**013-2.6.8.1 FULL\_TRANSFER - Transfers of All Capacity**

A Transmission Customer (Reseller) may Transfer all of their rights and obligations, including financial liability and encumbrances (e.g., Resales), under the Transmission Provider’s tariff to another Transmission Customer (Assignee). These requests must be initiated by the Assignee through submission of a Transmission Service request with REQUEST\_TYPE of FULL\_TRANSFER and designation of the Reseller as Seller. The Transmission Service attributes in the Transfer request must exactly match those in the Transmission Service reservation(s) held by the Reseller. Transfer requests are handled by the Reseller and the Assignee using the Business Practice Standard WEQ-013.2.6.7. OASIS may block submission of a Transfer request if the Assignee does not have an executed service agreement with the Transmission Provider.

When approved by the Reseller, the Reseller must supply information as to the Transmission Service rights to convey to the Assignee via the REASSIGNED\_REF, REASSIGNED\_CAPACITY, REASSIGNED\_START\_TIME and REASSIGNED\_STOP\_TIME Data Elements. The Transmission Service rights being transferred must have been purchased from the Transmission Provider (e.g. REQUEST\_TYPE of ORIGINAL, RENEWAL, ~~Firm~~ REDIRECT, DEFERRAL, or MATCHING with Transmission Provider as Seller) or transferred from another Transmission Customer. The aggregation of all REASSIGNED\_REF, REASSIGNED\_CAPACITY, REASSIGNED\_START\_TIME and REASSIGNED\_STOP\_TIME Data Elements must match the capacity and time frame of the Transfer request as specified in the CAPACITY\_GRANTED (and CAPACITY\_REQUESTED), START\_TIME and STOP\_TIME Data Elements of that transaction.

The Transmission Provider must acknowledge and approve of the transfer of rights by setting PRIMARY\_PROVIDER\_APPROVAL to Y. If for any reason the Transmission Provider disapproves of the Transfer, the Transmission Provider must set the request’s PRIMARY\_PROVIDER\_APPROVAL to N, and all rights will remain with the Reseller.

The Transmission Provider may post a FULL\_TRANSFER request directly on OASIS on behalf of the original Transmission Customer and Assignee after confirming the transaction with both parties using the ***transassign*** template. The information required to be posted shall be identical to that posted for FULL\_TRANSFERs conducted on OASIS.

On confirmation of the FULL\_TRANSFER, the IMPACTED attribute will be incremented for each of the Reseller’s reservations referenced by the REASSIGNED\_REF Data Elements and the resulting impacts on each REASSIGNED\_REF’s reserved capacity will be viewable with the ***reduction*** template. OASIS must also update all subordinate Resale, Redirect, etc., transactions impacting the REASSIGNED\_REF transaction(s) to reflect the transfer of those obligations to the Assignee under the new FULL\_TRANSFER reservation.

**013-2.6.8.2 PART\_TRANSFER - Transfer of Partial Capacity**

A Transmission Customer (Reseller) may transfer a portion of their rights and obligations, including financial liability, under the Transmission Provider’s tariff to another Transmission Customer (Assignee). These requests must be initiated by the Assignee through submission of a Transmission Service request with REQUEST\_TYPE of PART\_TRANSFER and designation of the original Transmission Customer (Reseller) as Seller. The Transmission Service attributes in the Transfer request must exactly match those in the Transmission Service reservation(s) held by the original Transmission Customer. Transfer requests are handled by the original Transmission Customer (Seller) and the Assignee (CUSTOMER) as specified in Business Practice Standard WEQ-013-2.6.7. OASIS may block submission of a Transfer request if the Assignee does not have an executed service agreement with the Transmission Provider.

When approved by the Reseller, the Reseller must supply information as to the Transmission Service rights to be conveyed to the Assignee via the REASSIGNED\_REF, REASSIGNED\_CAPACITY, REASSIGNED\_START\_TIME and REASSIGNED\_STOP\_TIME Data Elements. The Transmission Service rights being transferred must have been purchased from the Primary Provider (e.g. REQUEST\_TYPE of ORIGINAL, RENEWAL, REDIRECT, DEFERRAL, or MATCHING with Transmission Provider as Seller) or transferred from another Transmission Customer (REQUEST\_TYPE of PART\_TRANSFER or FULL\_TRANSFER with that Transmission Customer as Seller). The aggregation of all REASSIGNED\_REF, REASSIGNED\_CAPACITY, REASSIGNED\_START\_TIME and REASSIGNED\_STOP\_TIME Data Elements must match the capacity and time frame of the Transfer request as specified in the ~~,~~START\_TIME, STOP\_TIME, and CAPACITY\_GRANTED Data Elements of that transaction. The CAPACITY\_GRANTED must be less than or equal to the Capacity Available to Redirect on the REASSIGNED\_REF.

The Transmission Provider must acknowledge and approve of the transfer of rights by setting PRIMARY\_PROVIDER\_APPROVAL to Y. If for any reason the Transmission Provider disapproves of the Transfer, the Transmission Provider must set the request’s PRIMARY\_PROVIDER\_APPROVAL to N and all rights will remain with the Reseller.

The Transmission Provider may post a PART\_TRANSFER request directly on OASIS on behalf of the Reseller and Assignee after confirming the transaction with both parties. The information required to be posted shall be identical to that posted for PART\_TRANSFERs conducted on OASIS.

On confirmation of the PART\_TRANSFER, the IMPACTED attribute will be incremented for each of the original Transmission Customer’s reservations referenced by the REASSIGNED\_REF Data Elements and the resulting impacts on each REASSIGNED\_REF’s reserved capacity will be viewable with the ***reduction*** template.

**4. SUPPORTING DOCUMENTATION**

**a. Description of Request:**

For [R11022](http://www.naesb.org/pdf4/r11022.doc), submitted by Jimmy Womack on behalf of Southwest Power Pool

This request is (1) found within scope; (2) to be assigned to the Wholesale Electric Quadrant (WEQ); and (3) because it is a request modify the WEQ-013 transfer standards, it should be assigned the WEQ OASIS Subcommittee. While there was nothing in the request indicating that it should be assigned a high priority, therefore, it should be addressed in the normal course of business of the subcommittee.

**b. Description of Recommendation:**

See Section 3 Summary

**c. Business Purpose:**

The corrected language will allow for a more complete and consistent standard and clarify the listings as only an example and not a definitive list.

**d. Commentary/Rationale of Subcommittee(s)/Task Force(s):**

* Meeting Minutes
	+ January 25-26
	+ February 9